

# Diagnostic and In-Depth Model Evaluation

**Robin Dennis\***

Atmospheric Sciences Modeling Division  
NOAA - Air Resources Laboratory  
Research Triangle Park, NC

\* On assignment to the National Exposure Research Laboratory, U.S. EPA.

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*Although this work was reviewed by EPA and approved for publication, it may not necessarily reflect official Agency policy.*

# Objectives of Diagnostic/In-Depth Model Evaluation

- Test the model to check
  - Reliability of the Predictions (Right Reason)
    - Right answer for the right reason
    - Wrong answer for the right reason or understandable reason
  - Right Response
    - Reasonably accurate response (a major focus of the work)
- Separate sources of error
  - Discern among:
    - Emissions input error
    - Meteorological error
    - Chemistry/aerosol physics and chemistry error
- Aid model developers in identifying and treating problem areas

## **Approach: Thinking and What is Behind It**

- Advance the science underpinning for diagnostic or investigative evaluations
- Assess the model through evaluations

# Advance the Science

- Indicator Measures to probe processes
  - Development
  - Application and testing
- “Instrumented” Models
  - Process Analysis
  - Tracking model versions
    - Sulfate tracking
    - OC source apportionment
- Statistical quantification of the testing
  - Tests associated with indicator metrics
  - Tests associated with space-time comparisons (new)

# Assess the Model

- CMAQ (3-D) Model Comparisons
  - Comparisons against special (supersite) data sets
    - Complete species set (simultaneous multiple species)
    - High temporal resolution (hourly)
  - Sensitivity analyses
    - Model against data
    - Model against model
      - Process testing focus
      - Control strategy response focus
- Box-model Comparisons
  - Photochemical box models
  - PM box models
- Apply CMAQ to gain experience with what it does

## Approach (cont.)

- Limitations: Only so much we can cover at one time. Try to pick important areas for testing that will provide insight into the model processing
- Focus on predictive use of CMAQ for control strategy assessment
  - What are the implications for control strategy predictions?
- There has been a progression over time from Ozone to PM and then towards in-depth PM + Ozone accountability.
- Effort has transitioned from evaluators working alone to working in concert with development efforts

# Lessons Garnered / Guidance Developed

What are some of the lessons we have garnered or guidance we have developed out of the diagnostic or in-depth evaluation efforts?

Photochemistry

Fine Particle Predictions

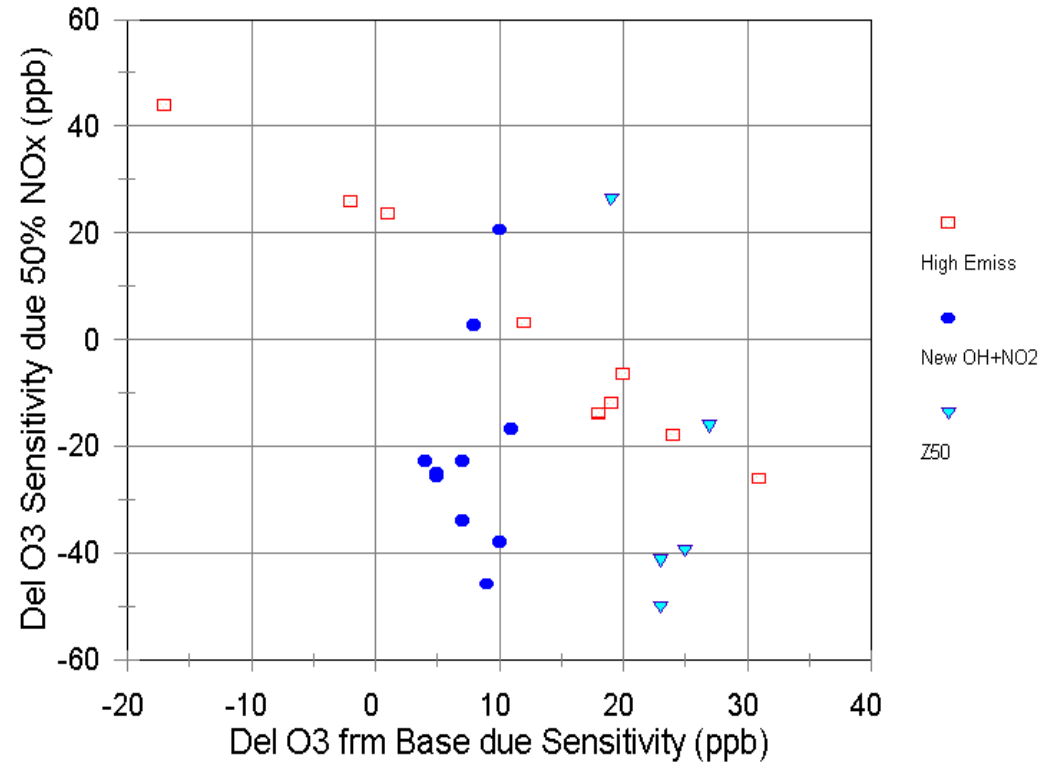
# Photochemistry: sensitivity vs. control

Clear sense now that

$$\Delta O_3)_{\text{sens}} \neq \delta O_3 / \delta E)_{\text{sens}}$$

Therefore, we must test the sensitivity to the control response directly through sensitivity studies

We cannot assume that better performance on O3 base cases translates to better performance relative to control strategy predictions

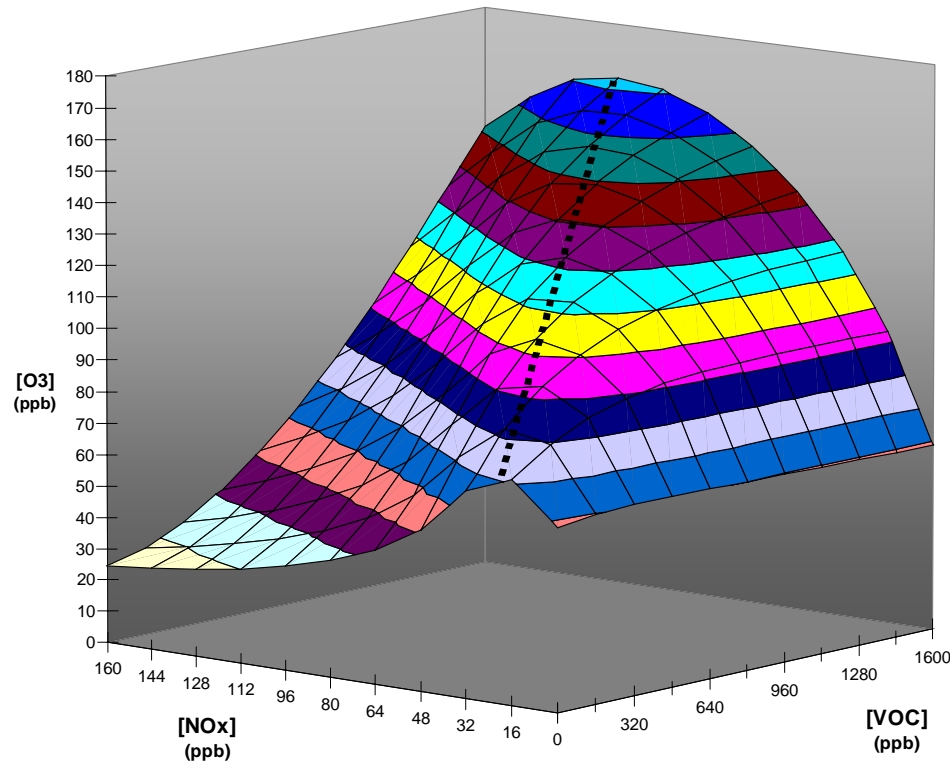




# Photochemistry: Indicators of process functioning

Establish use of indicators for photochemical functioning through diagnostic studies; to see how well chemistry in model is replicating chemical processing we observe in the atmosphere

➡  $O_3/NO_x$  to indicate system location relative to the ridgeline



➡  $O_3$  vs.  $NO_z$  to indicate degree of photochemical processing ( $NO_x$  cycle)

# Photochemistry: O<sub>3</sub>/NO<sub>x</sub> bins

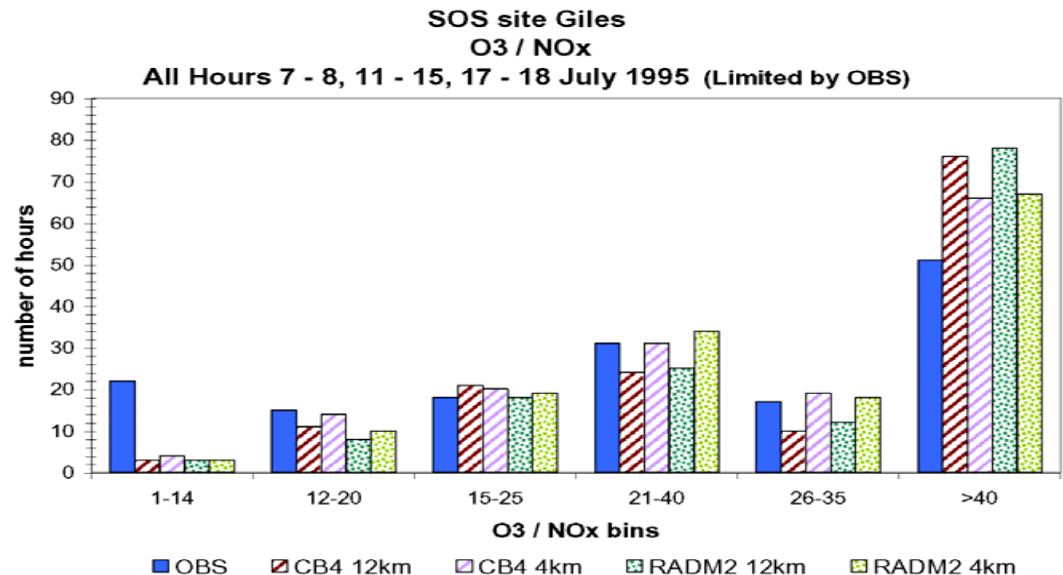
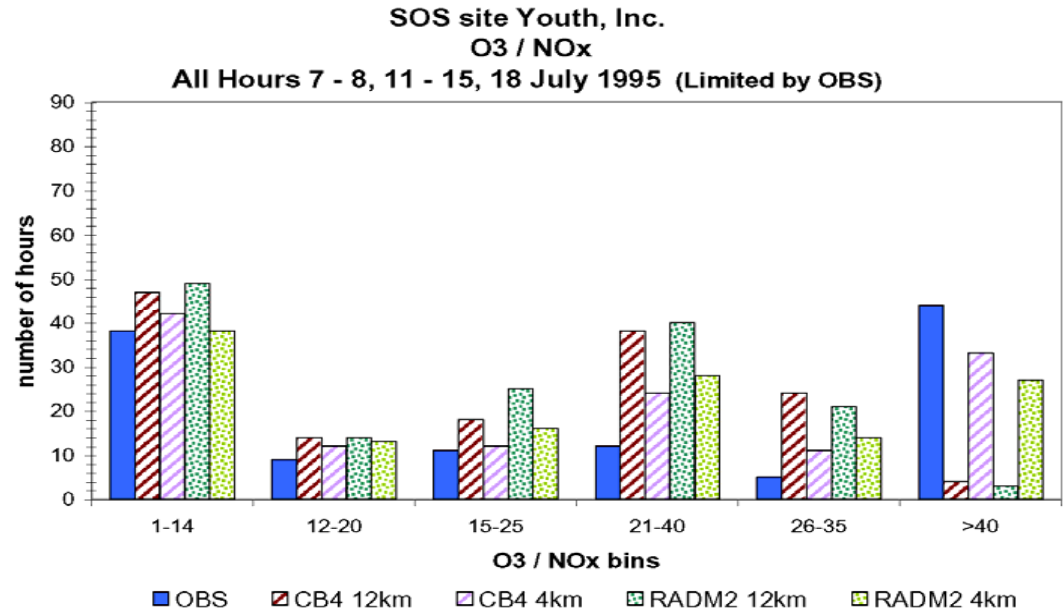
Exploring system state:

Not much difference  
between RADM2 & CB4

4-km model is better

Local NO<sub>x</sub> emissions  
are missing in the model

May throw model off for  
control predictions

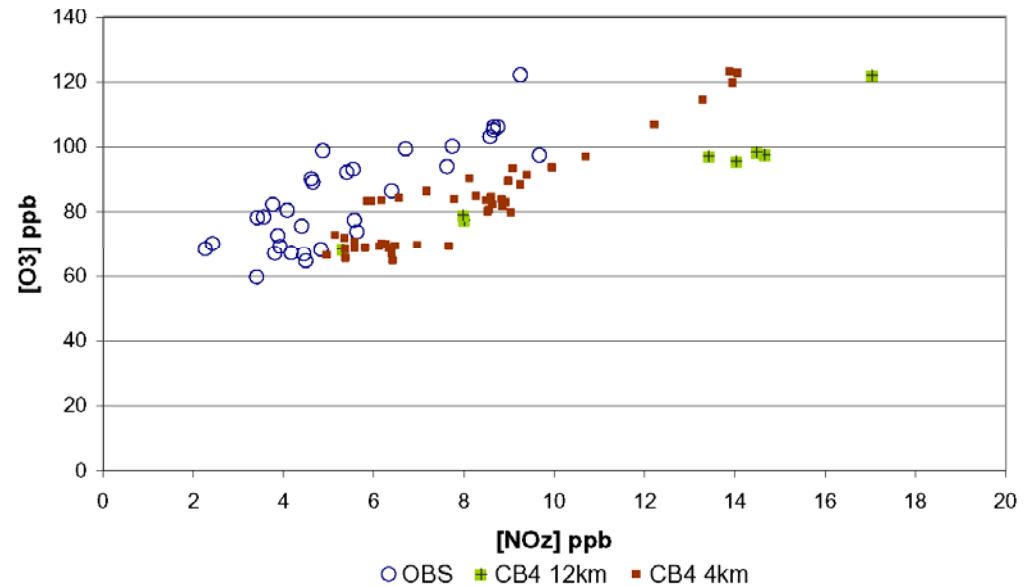
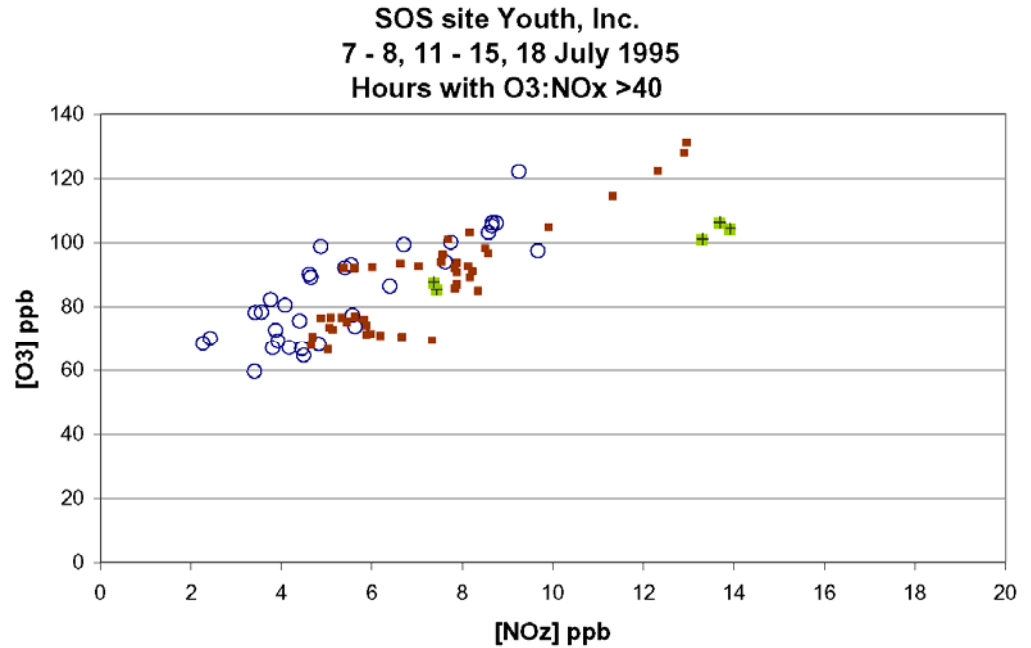


# Photochemistry: O3 vs. NOz (NOx cycling)

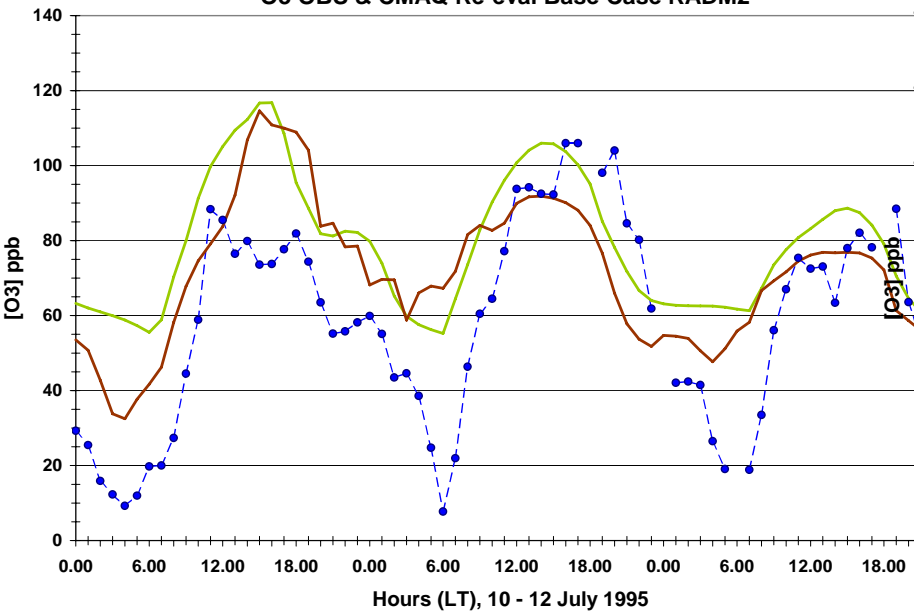
Exploring differences  
between RADM2  
and CB4

4-km is better

RADM2  
looks better

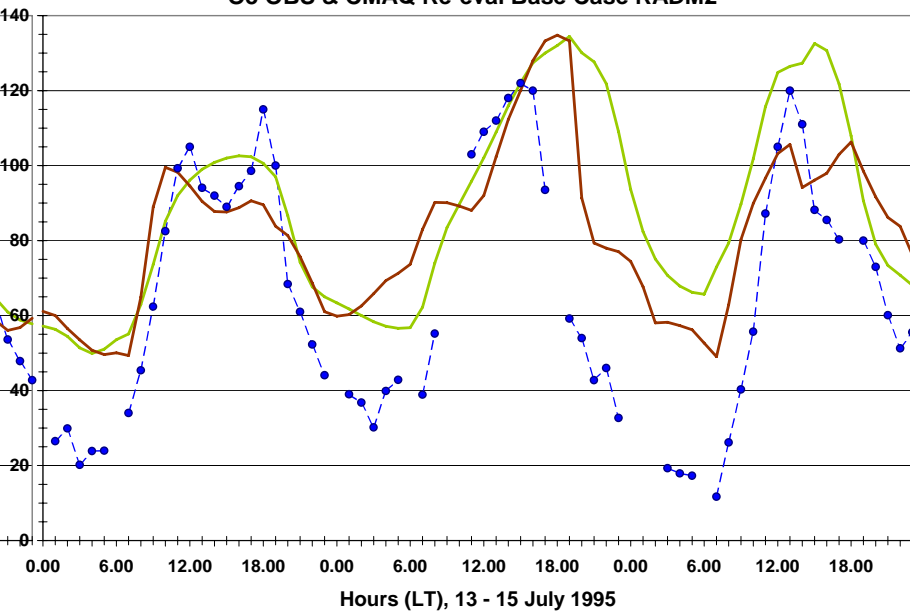


SOS site Youth  
O3 OBS & CMAQ Re-eval Base Case RADM2



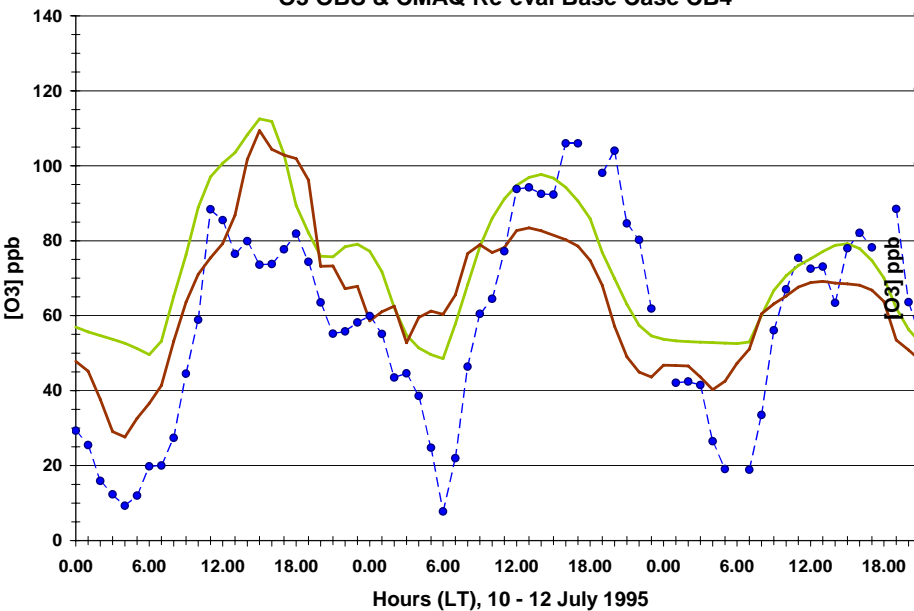
—●— OBS      — 12km RADM2      — 4km RADM2

SOS site Youth  
O3 OBS & CMAQ Re-eval Base Case RADM2



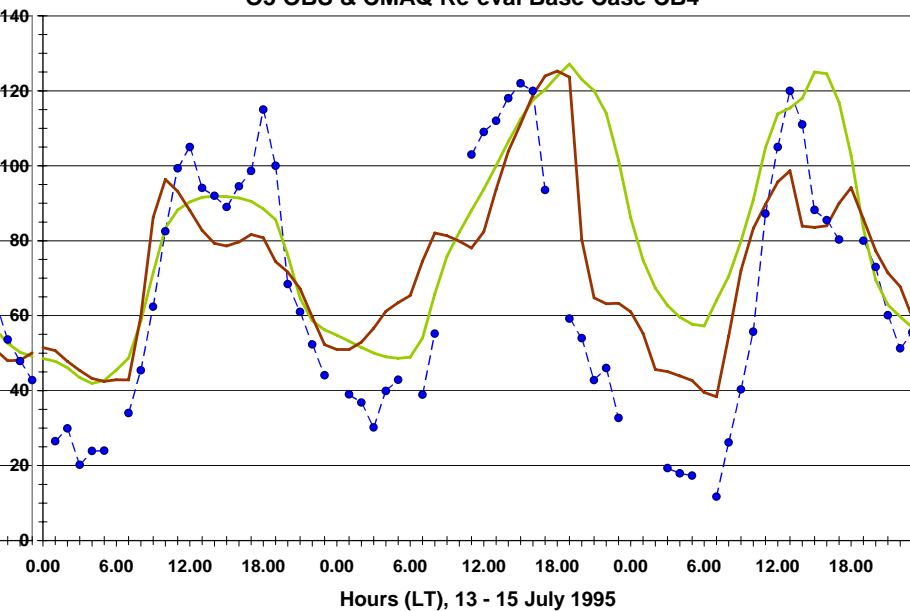
—●— OBS      — 12km RADM2      — 4km RADM2

SOS site Youth  
O3 OBS & CMAQ Re-eval Base Case CB4



—●— OBS      — 12km CB4      — 4km CB4

SOS site Youth  
O3 OBS & CMAQ Re-eval Base Case CB4



—●— OBS      — 12km CB4      — 4km CB4

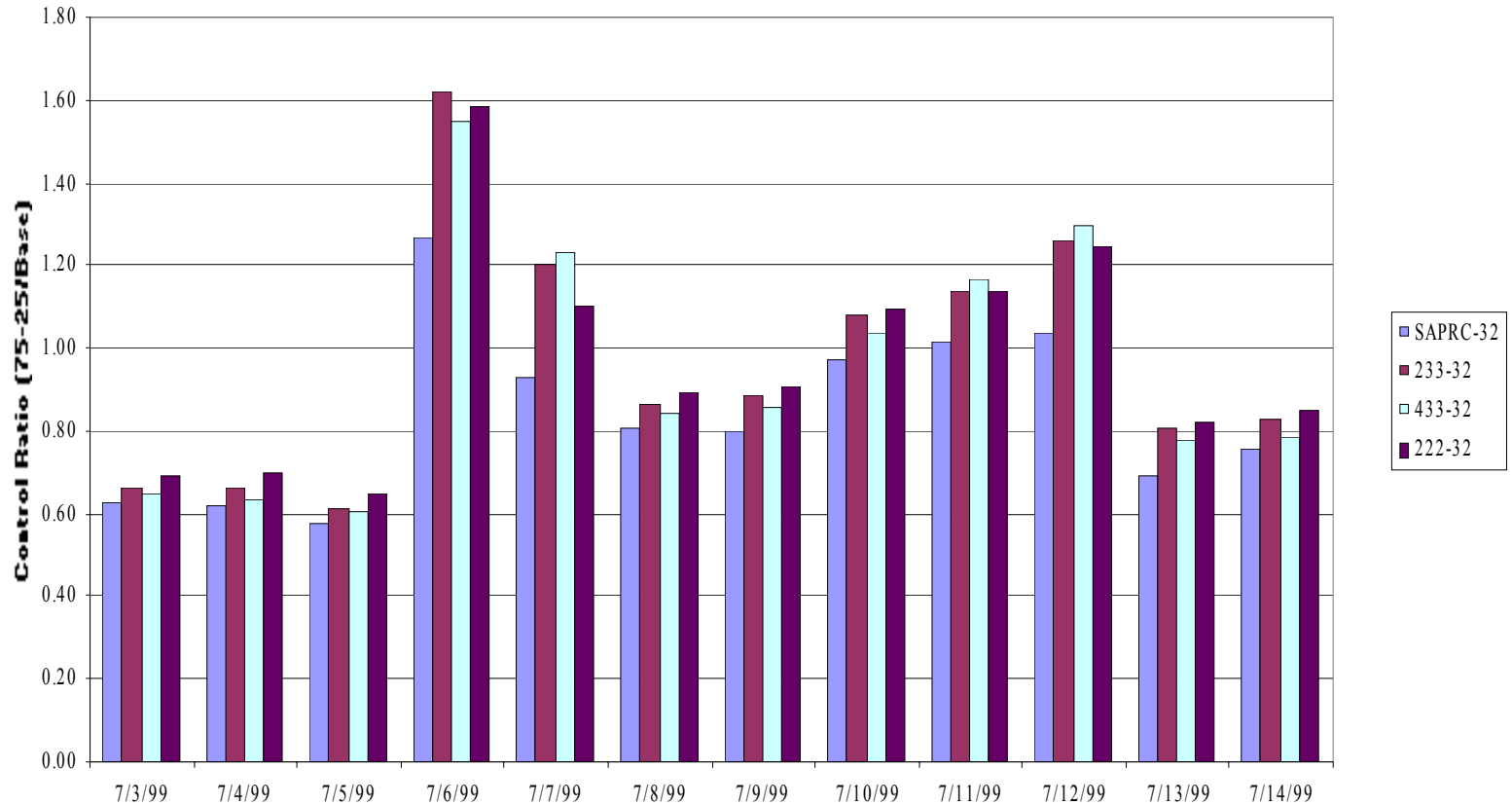
# Photochemistry: Indicators of process functioning

We have been providing feedback to the measurement community about the need for  $\text{NO}_Y$  and true- $\text{NO}_2$  measurements (for  $\text{NO}_X$ ) to support these diagnostic metrics to support better examination of the photochemical processing in air quality models

Now pushing on more reliable  $\text{HNO}_3$

# Photochemistry: NO<sub>x</sub> Control strategy response

8-Hour Max. Control Ratio - CMAQ @ 32-km  
Chicago

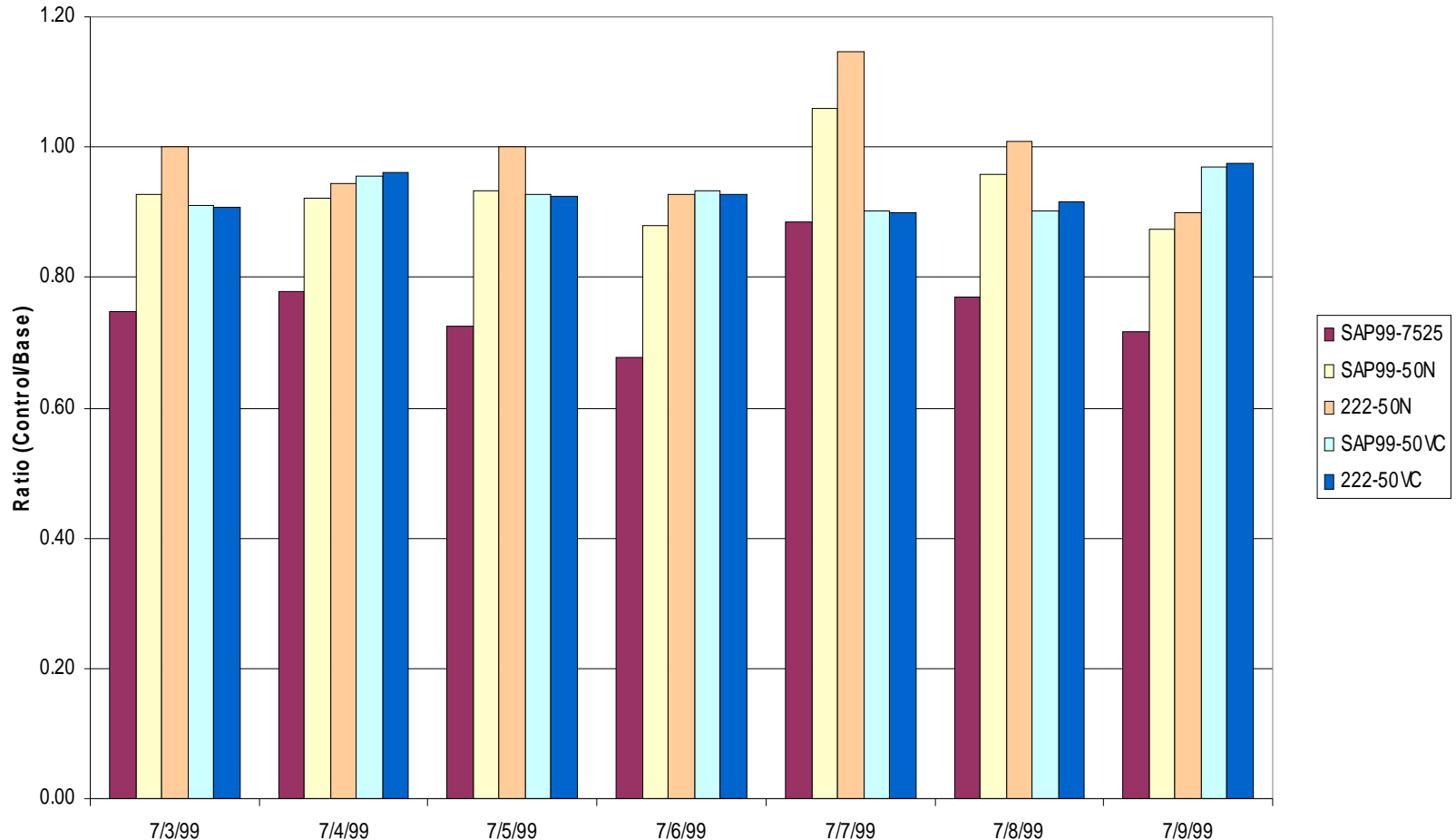


Differences among SAPRC99, RADM2 and CB4

Differences more a function of “age”, not related to OH+NO<sub>2</sub> rate

# Photochemistry: Control strategy response

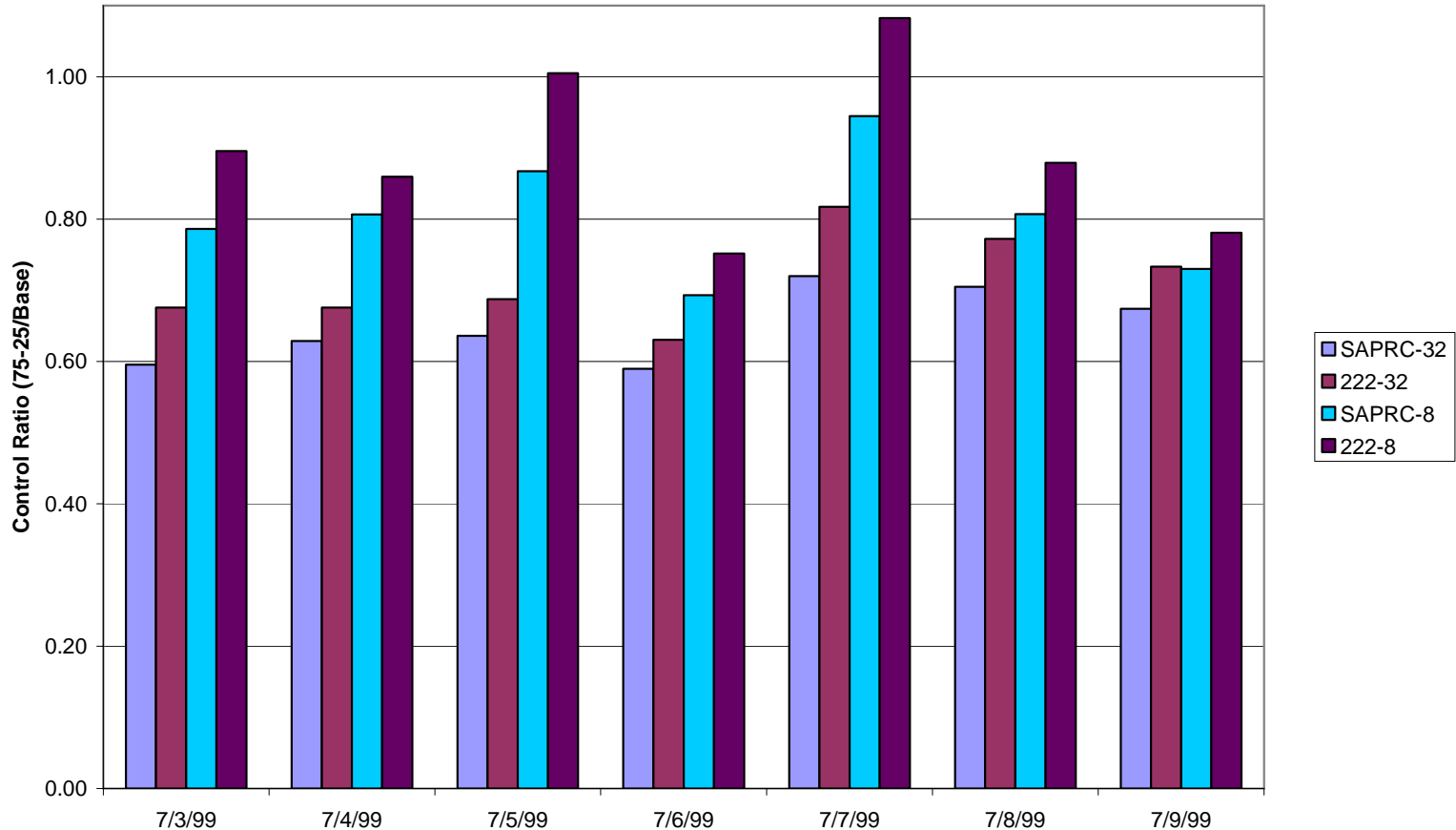
Jefferson Street (Atlanta) Sensitivity Comparisons for 8-km CMAQ



Differences are in the  $\text{NO}_x$  control response, not the VOC response

# Photochemistry: NO<sub>x</sub> Control strategy response

Control Ratio for 32-km and 8-km CMAQ  
Atlanta (Jefferson Street)



NO<sub>x</sub> Control effectiveness goes down with smaller grid size  
Difference between old and new mechanism increases



# Photochemistry: Indicators of process functioning

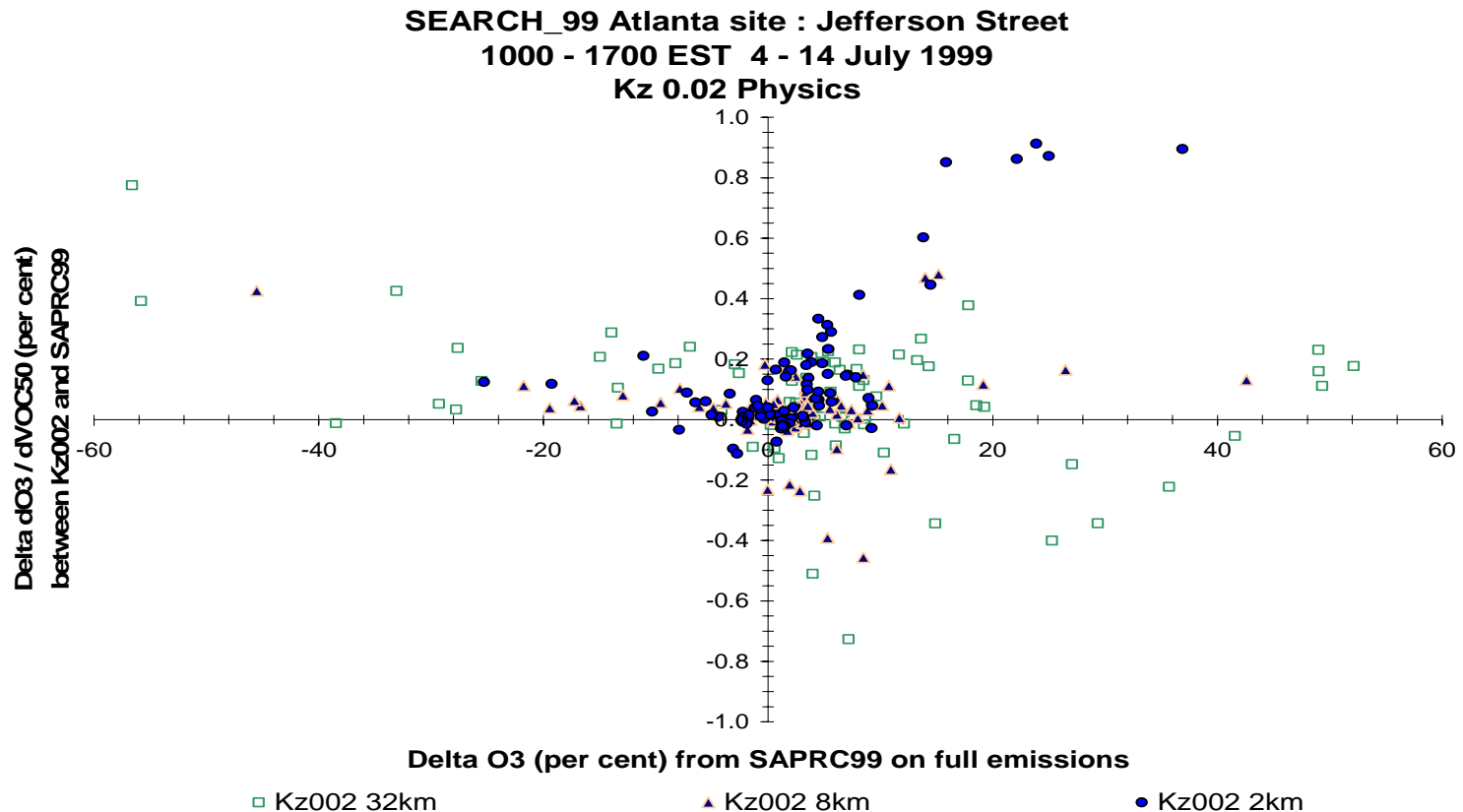
We want to close the loop between these differences in control strategy response and the O<sub>3</sub> vs. NO<sub>Z</sub> test, using our matrix of '99 sensitivity runs

Grid	SAPRC99 Chemistry								CB4	RADM 2	RADM 2a
	Base Kz Mixing				ACM		Kz <sub>lim</sub> =0.002		Mix- ing	Mix- ing	Chem
	Base	50% NO <sub>x</sub>	50% VOC	75%N 25%V	Base	Control Sensitiv	Base	Control Sensitiv	Base +Sens	Base+ Sens	Base Kz +Sens
32km	15d	15d	15d	15d	15d	45d	15d	45d	180d	180d	60d
8km	15d	15d	15d	15d	15d	45d	15d	45d	180d	180d	60d
2km Nash	15d	15d	15d	15d	15d	45d	15d	45d	180d	180d	60d
2km Atl	15d	15d	15d	15d	15d	45d	15d	45d	180d	180d	60d

Total = 2,400 simulations days (= 6-3/4 years of days) to analyze

# Photochemistry: Mixing & Control strategy response

Differences in the nighttime vertical mixing rate ( $K_z$ ) can make a large difference in the ozone performance statistics at night, especially in the a.m.. The day-time  $O_3$  levels can be changed by  $\pm 20\%$  or more (horizontal axis). Sensitivity results show that the relative change in daytime ozone due to a control strategy (in %) is generally modified by less than half of a percentage point (vertical axis)..



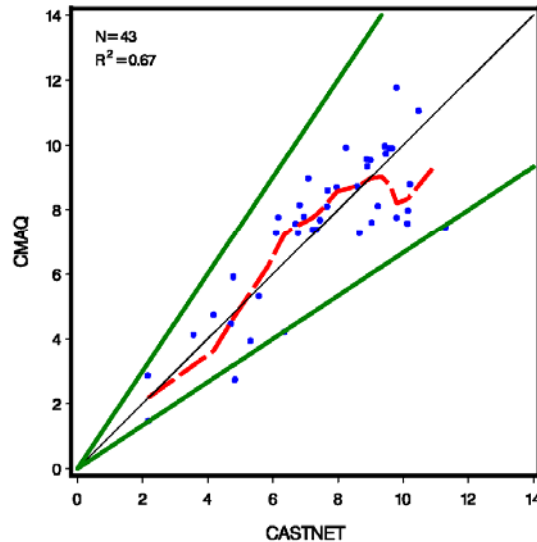
# Fine Particle Predictions

## Stepping Through Issues

- Sulfate response to SO<sub>2</sub> reductions
- Ammonia inverse to establish appropriate NH<sub>3</sub> emissions
- Separate chemical and physical issues using Atlanta99 supersite data
- Probe nitrate aerosol overprediction problem
- Box-model examination of nitrate formation
- Contemporary vs. old carbon and carbon emissions inventory

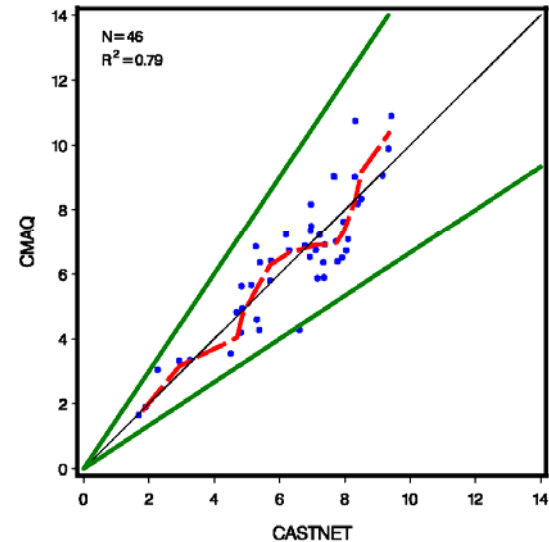
# Sulfate: Nonlinear Response

SO<sub>4</sub> AIR CONCENTRATION (UG/M<sup>3</sup>)  
CMAQ vs. CASTNET  
JUNE 1990



**LEGEND**  
50% INTERVAL ———  
RUNNING MEDIAN SMOOTH LINE - - -

SO<sub>4</sub> AIR CONCENTRATION (UG/M<sup>3</sup>)  
CMAQ vs. CASTNET  
JUNE 1995



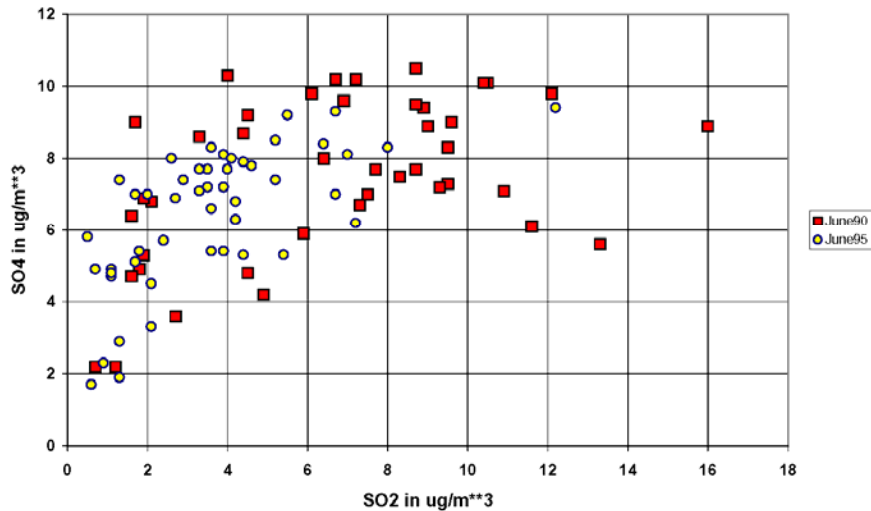
**LEGEND**  
50% INTERVAL ———  
RUNNING MEDIAN SMOOTH LINE - - -

Model correctly predicted  $\text{SO}_4^-$  response =  $0.7 \cdot \text{SO}_2$  reduction

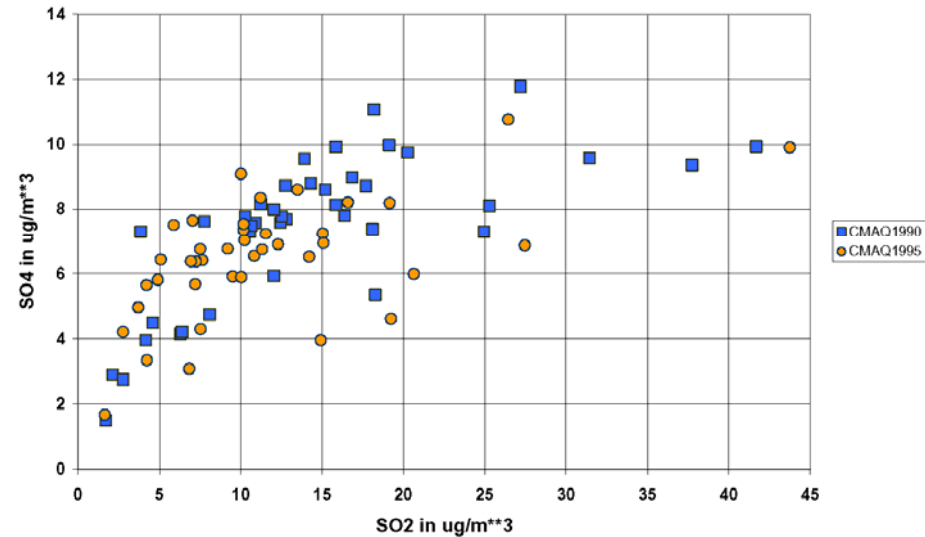
Two sources of nonlinearity: chemistry and meteorology

# Sulfate: Nonlinear Response - Chemistry

June SO<sub>4</sub> versus SO<sub>2</sub> for 1990 and 1995: CASTNet



June SO<sub>2</sub> versus SO<sub>4</sub> for 1990 & 1995: CMAQ

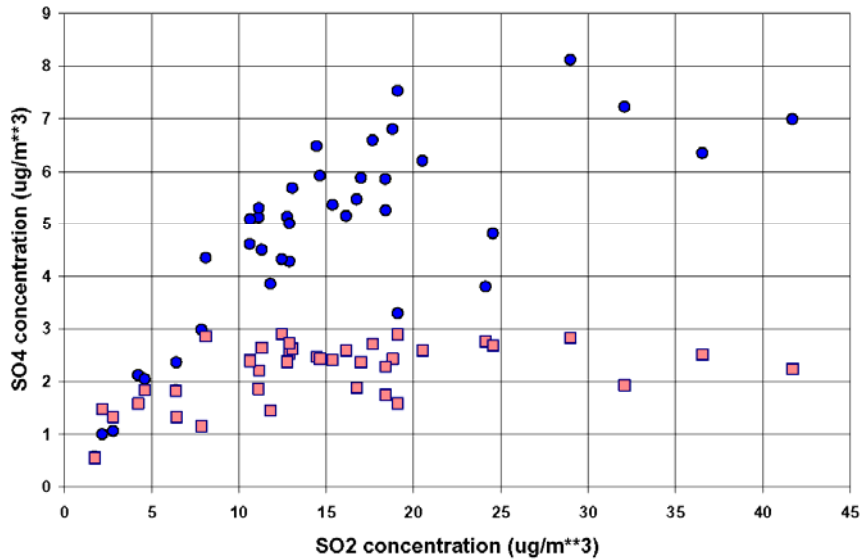


Production of SO<sub>4</sub><sup>=</sup> rolls off as get to higher concentrations of SO<sub>2</sub>

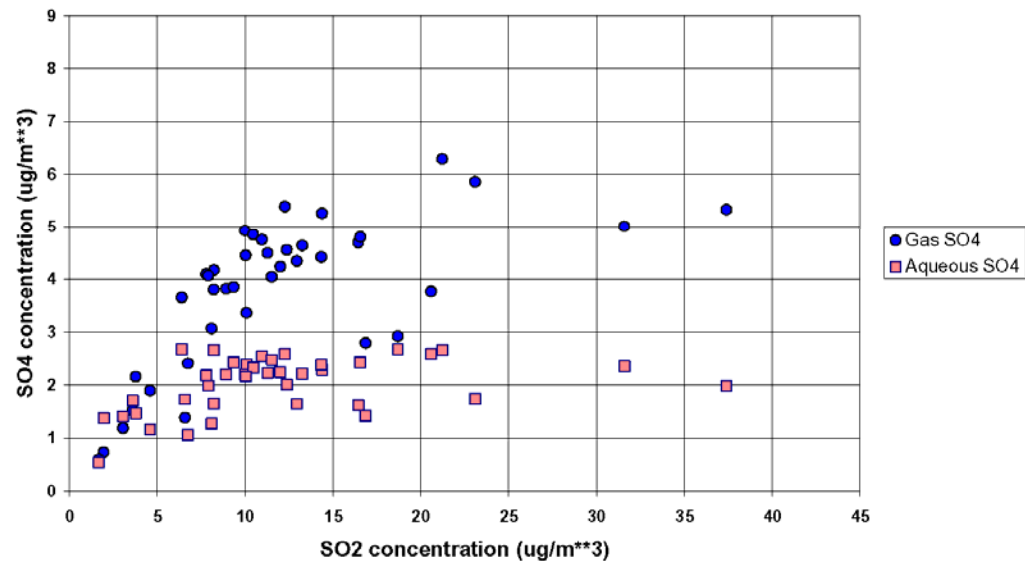
Observed in both model and measurements

# Sulfate: Nonlinear Response - Chemistry

Aqueous and Gas Sulfate vs. SO2  
1990 Base Case



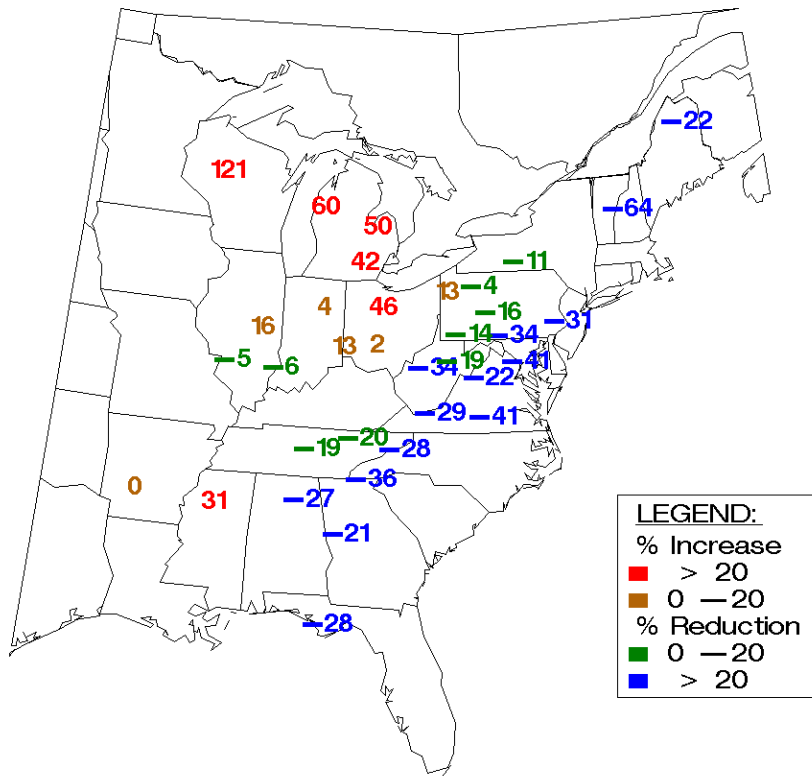
Aqueous and Gas Sulfate vs. SO2  
1990 Meteorology & 1995 Emissions



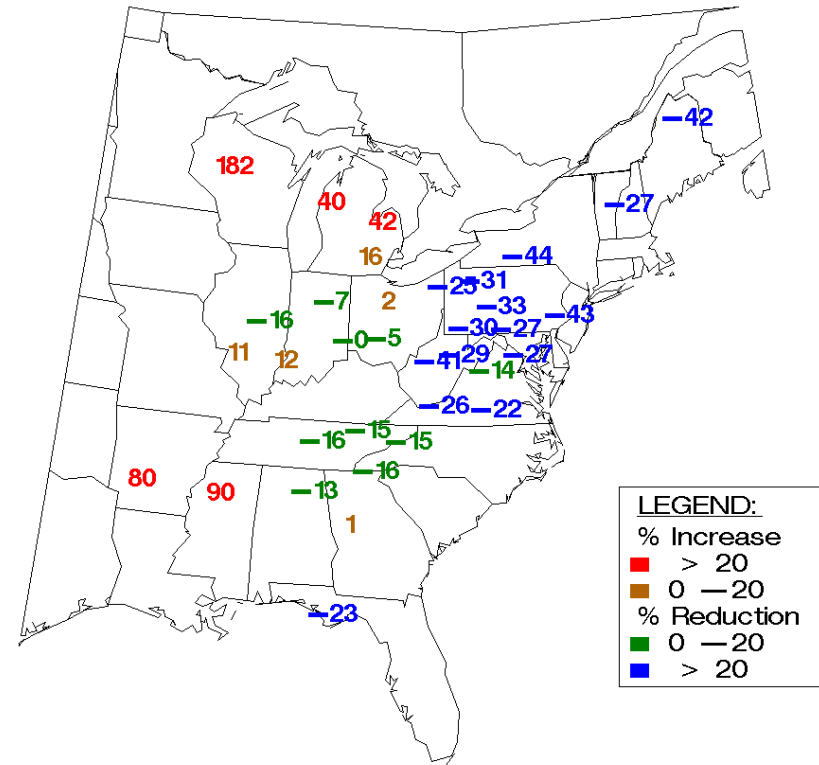
The sulfate tracking model shows that, indeed, the  $\text{SO}_4^-$  roll-off is due to the oxidant limitation in the aqueous phase chemistry

# Sulfate: Nonlinear Response - Meteorology

CASTNET SO<sub>4</sub> CONCENTRATION (UG/M<sup>3</sup>)  
1995 PERCENT CHANGE FROM 1990  
JUNE



CMAQ SO<sub>4</sub> CONCENTRATION (UG/M<sup>3</sup>)  
1995 PERCENT CHANGE FROM 1990  
JUNE

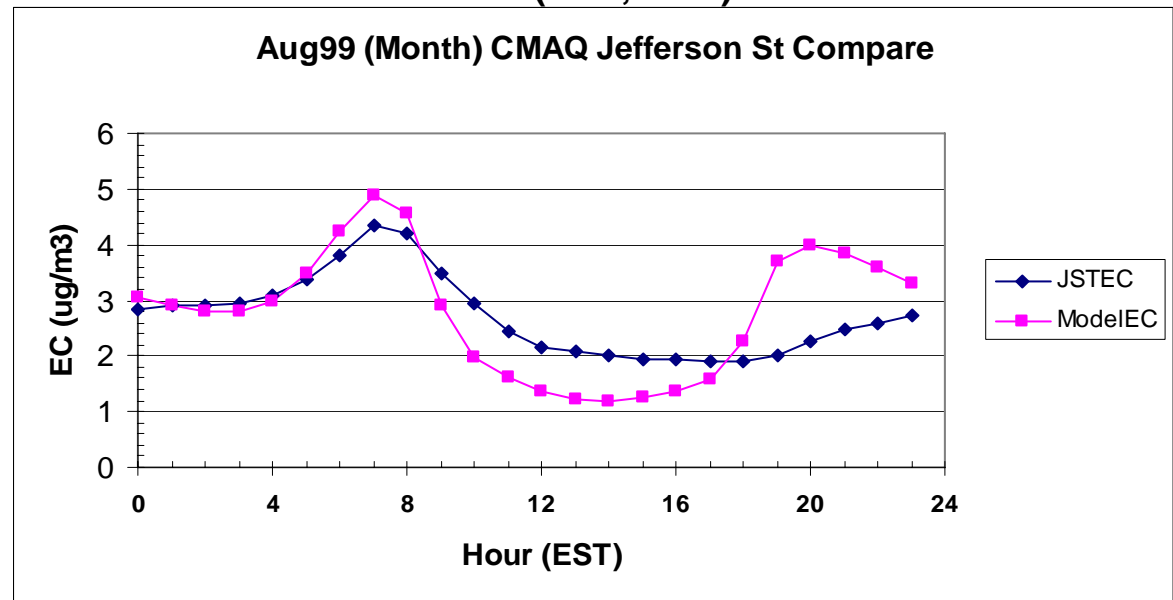
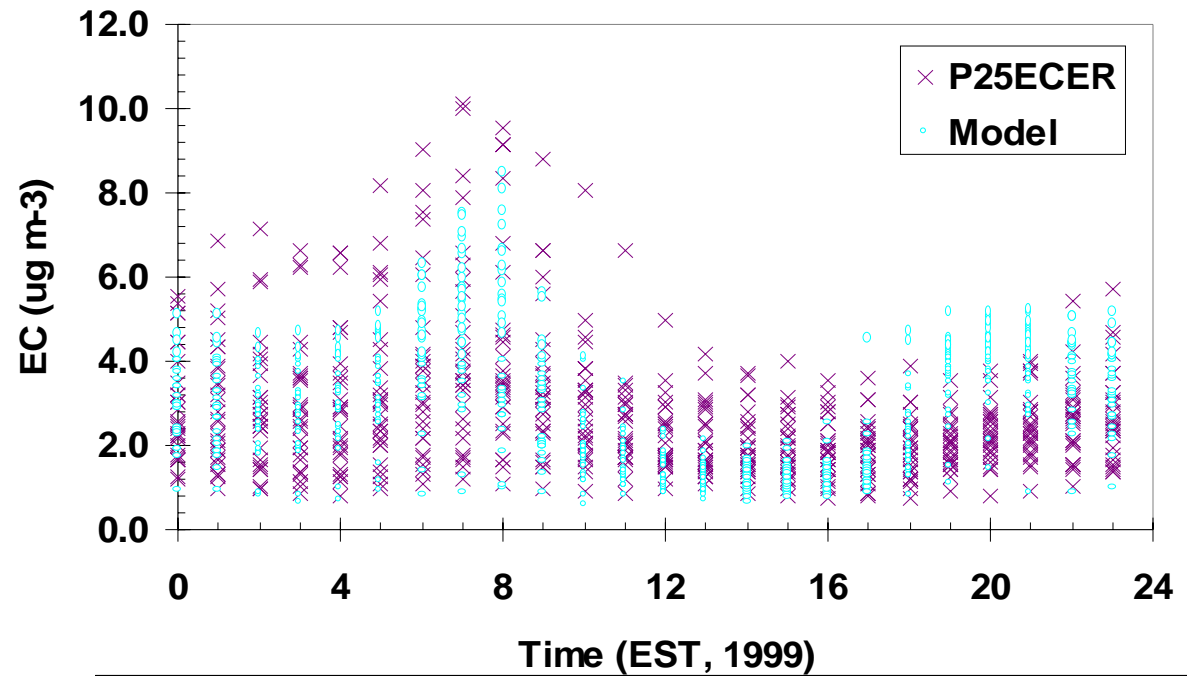


Differences in monthly-average precipitation (cleansing) can result in  $\text{SO}_4^-$  increases even though the  $\text{SO}_2$  emissions decreased and CMAQ + MM5 is able to capture this phenomenon

# Separating Chemical and Physical Issues

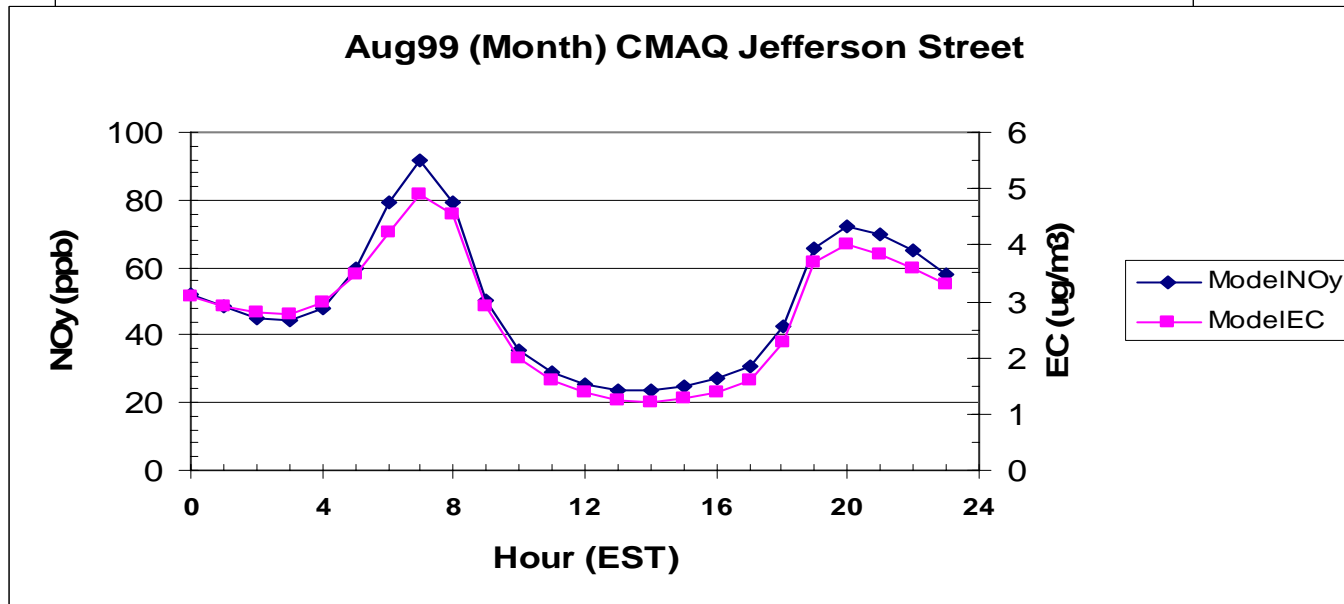
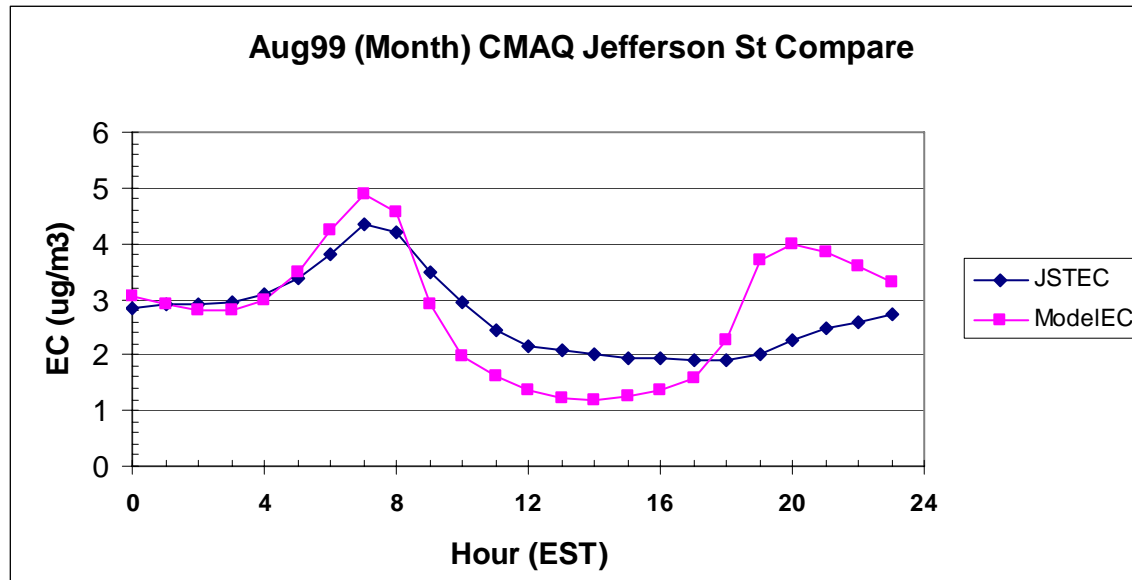
Found again our persistent issue with the nighttime pbl

Supports earlier recommendation regarding  $K_{z_{lim}}=1$  as current default



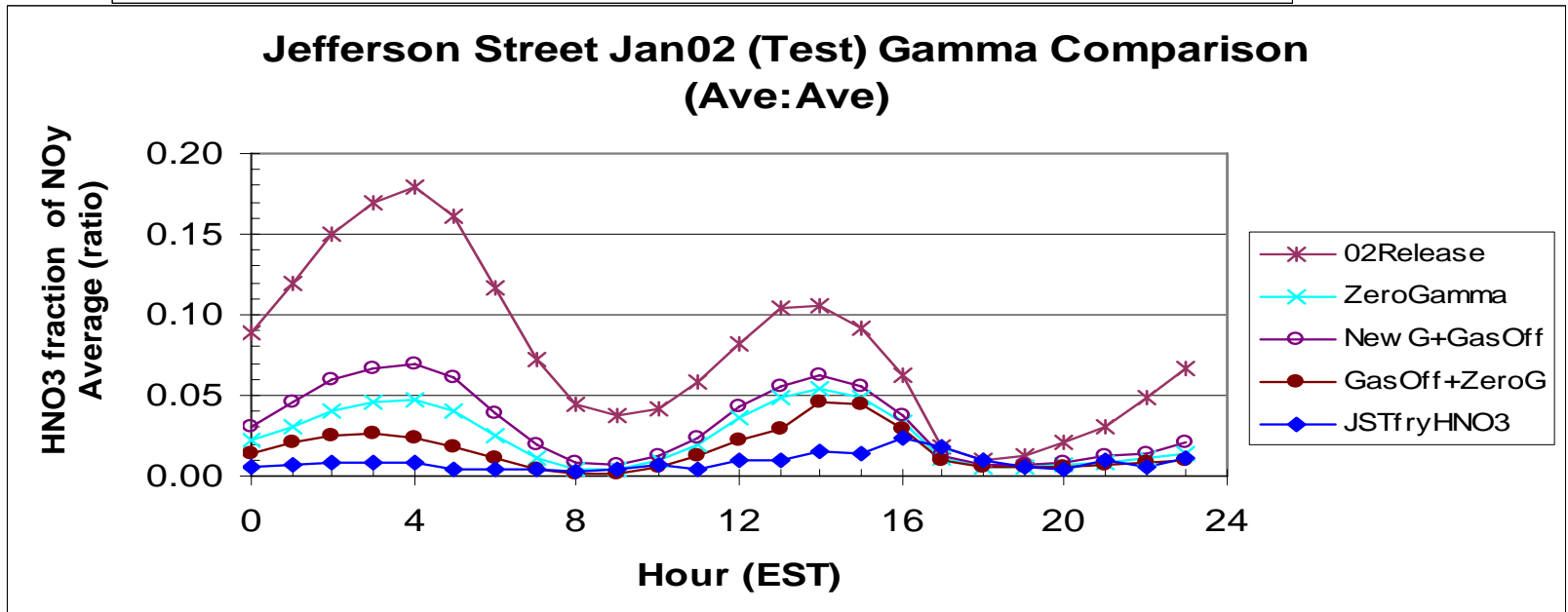
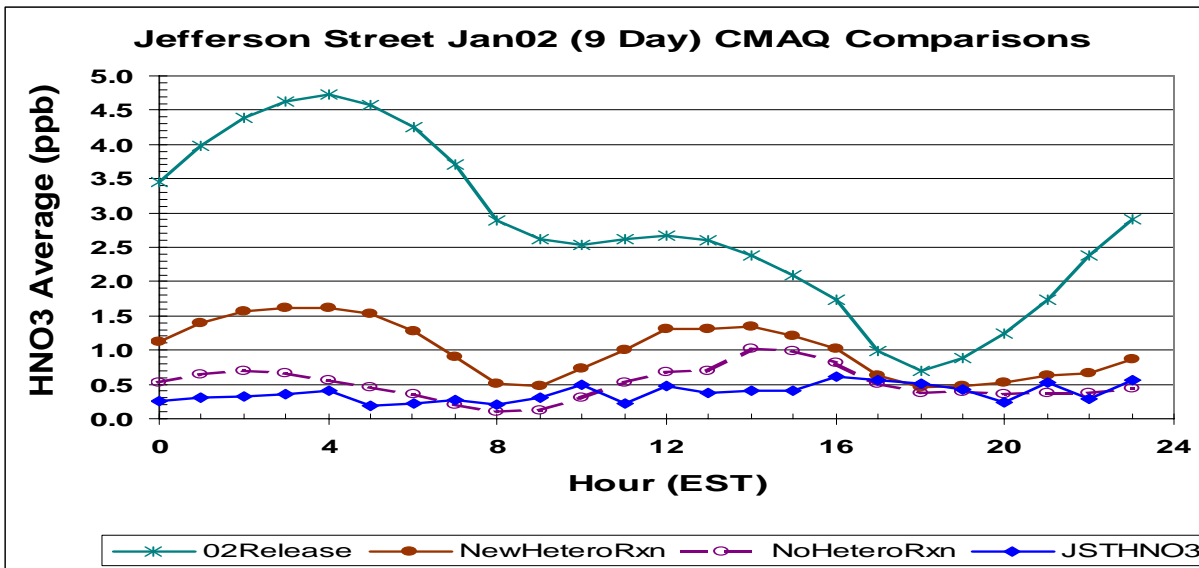


# Separating Chemical and Physical Issues



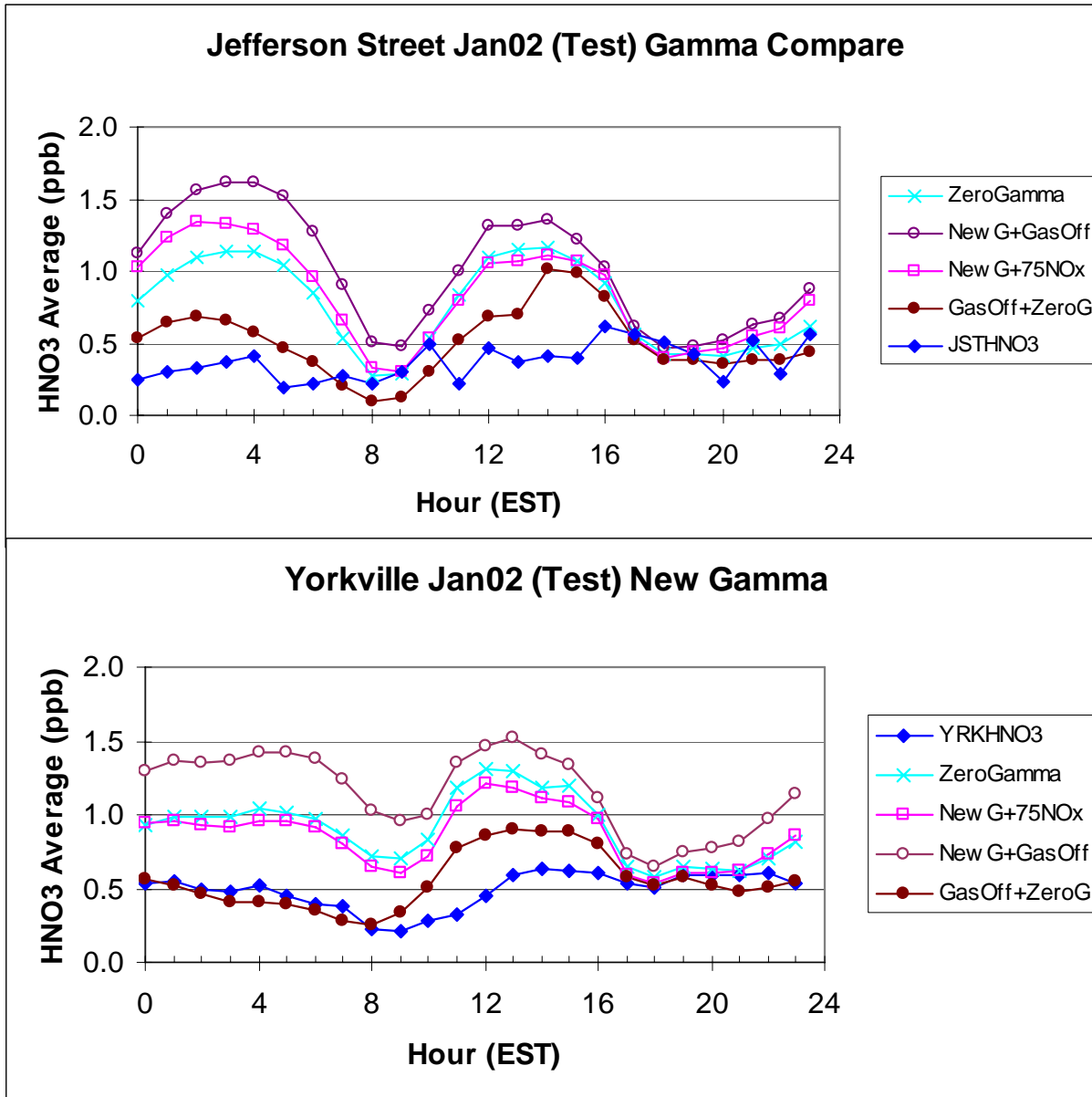
Behavior is consistent for conservative surface emission sources

# Nitrate Aerosol Issue



Determined serious over prediction of HNO<sub>3</sub> stemmed from nighttime heterogeneous N<sub>2</sub>O<sub>5</sub> chemistry

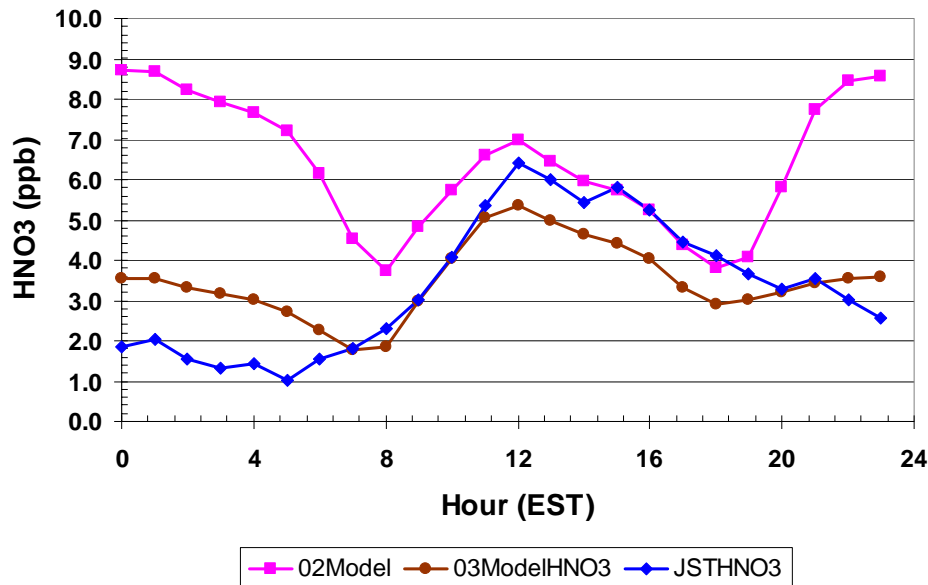
# Nitrate Aerosol Issue



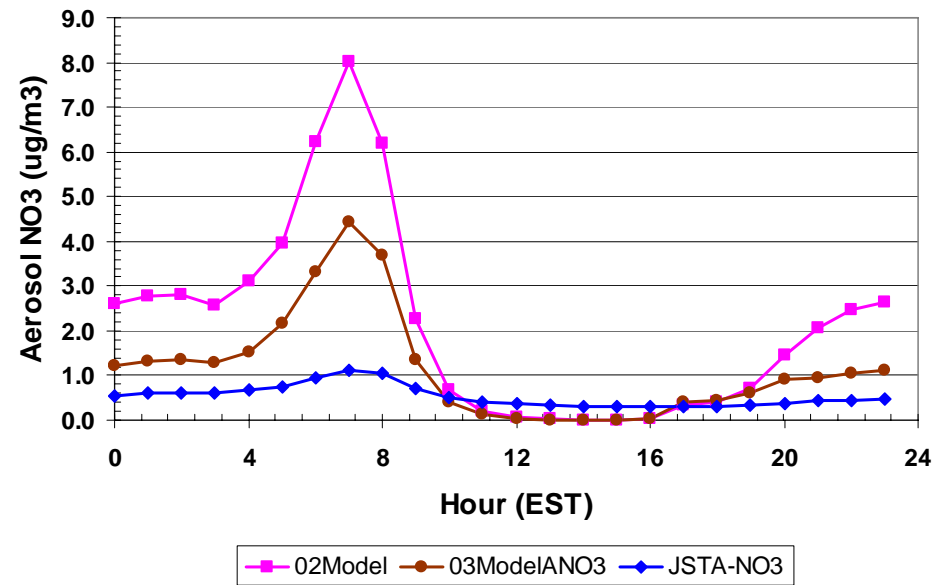
Even with no  $N_2O_5$  conversion there would still be a daytime  $HNO_3$  production issue

# Nitrate Aerosol Issue

Jefferson St Aug99 (test period) HNO<sub>3</sub> Diurnal Average Comparison



Jefferson St Aug99 (test period) A-NO<sub>3</sub> Diurnal Average Comparison



Re-check found that a larger portion of HNO<sub>3</sub> bias than expected was due to nighttime heterogeneous N<sub>2</sub>O<sub>5</sub> chemistry rather than to pbl errors. This implies we need a more robust diagnosis.

# Current Plans for the Future

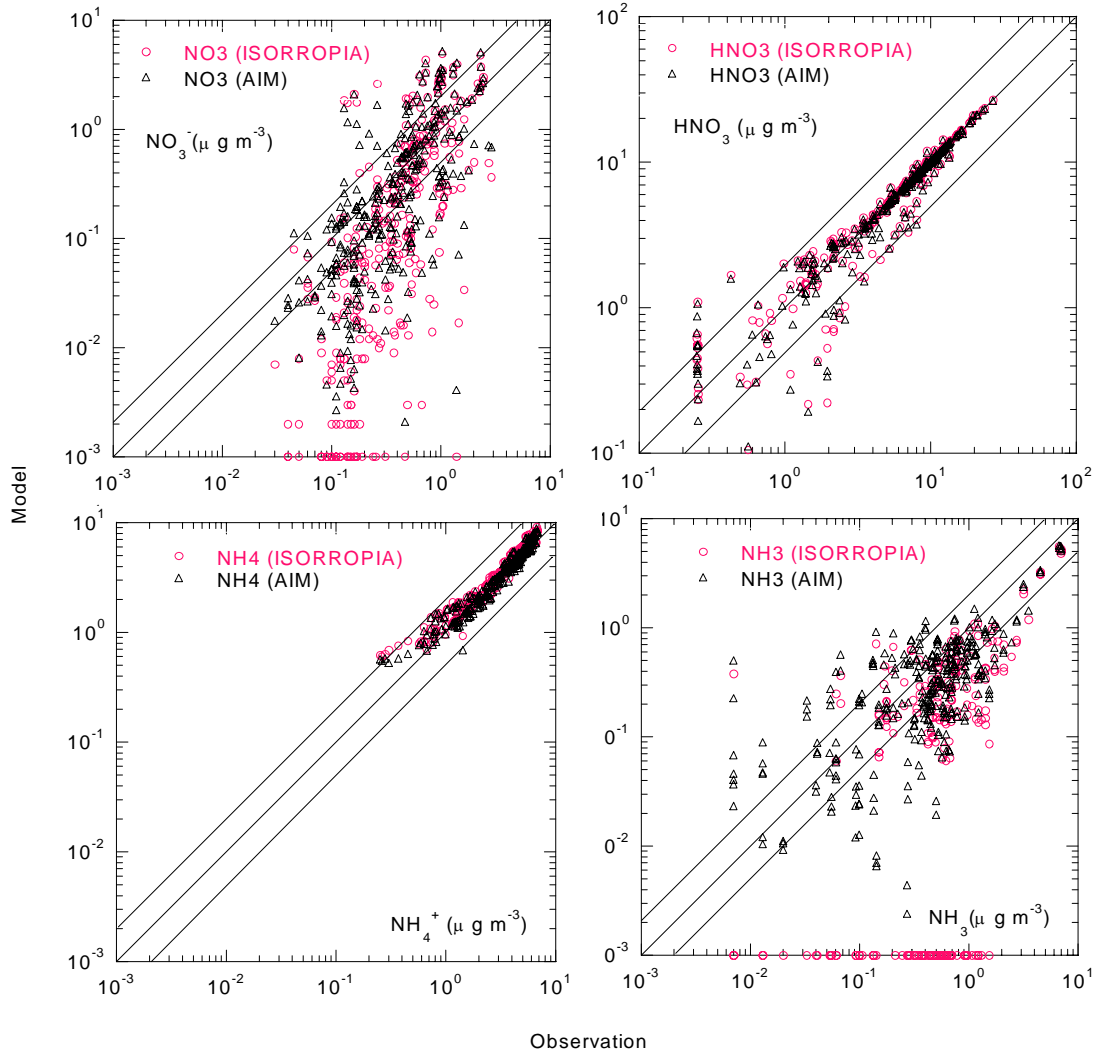
- Photochemistry - current
  - Physics/Mixing sensitivity: write up
  - Chemical Mechanism sensitivity: close the loop and write up
- Photochemistry – near term
  - NO<sub>x</sub> accountability initiative (next slide)
  - Work with Gail Tonnesen and UCR chamber testing of indicators
- Particulate Matter – current
  - Difficulty in prediction of aerosol nitrate
  - OC source apportionment work with special CMAQ version
- Particulate Matter – near term
  - Nitrate replacement for sulfate control strategy sensitivity
  - Comparisons against new July 2001 Supersite data
  - Test new section model, CMAQ-AIM, especially for sea salt effects
  - Test sulfate production as a function of grid resolution influence on meteorological simulation and other factors

# Photochemistry – NO<sub>x</sub> Accountability

Looking for truth in the real world. We expect a signal in next years stemming from the NO<sub>x</sub> SIP Call, Tier II and HDDV regulations

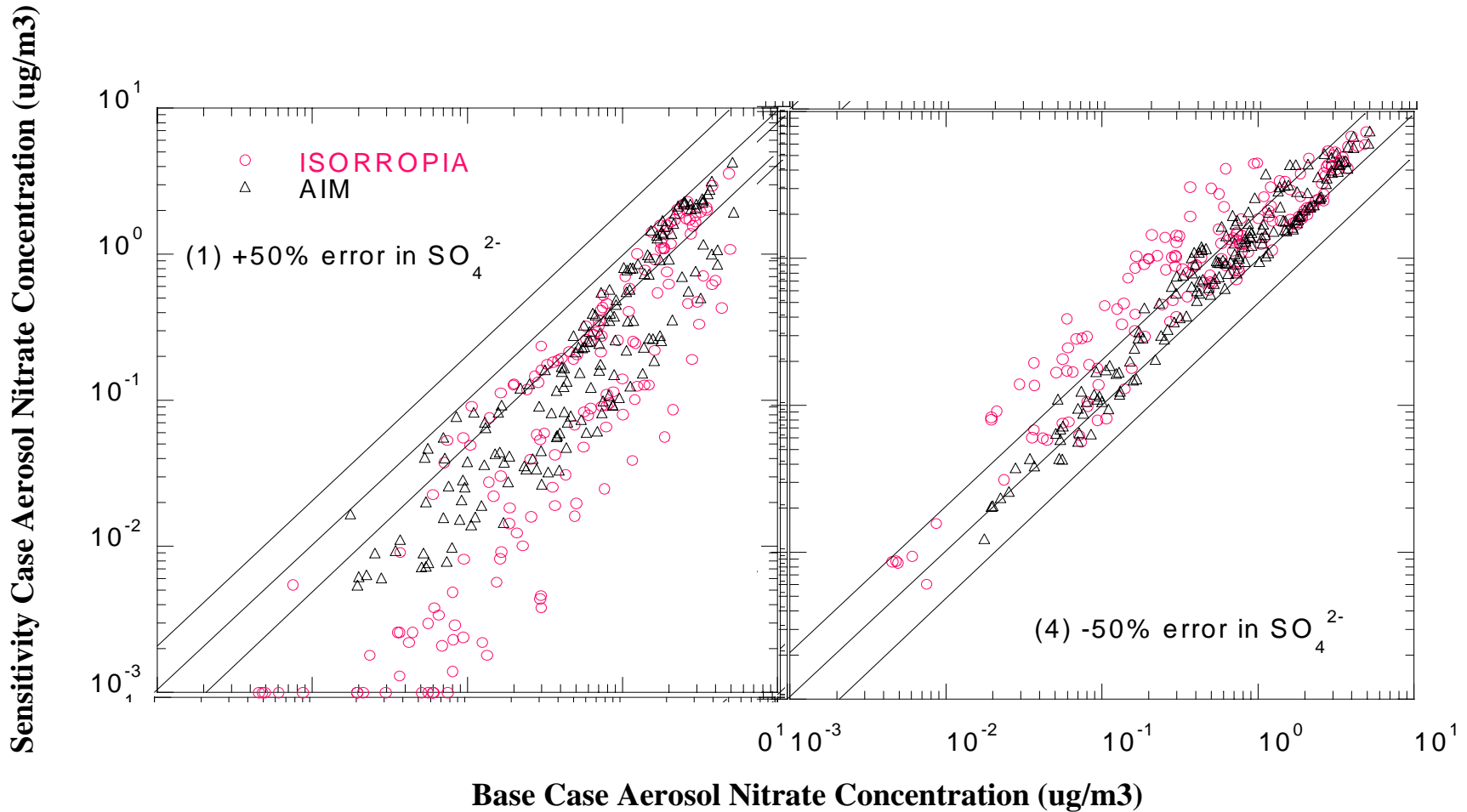
- What is the expected signal and where is it? How does this relate to available monitoring sites? Are there critical spatial gaps?
- Which sector reductions produce the signal? Is the signal spatially different for the different sector contributions? How does this provide guidance to statistical analyses?
- What additional indicator species would be most valuable?
- How much uncertainty can chemical mechanism variation introduce into the expected signal (SAPRC99 vs. CB4 vs. CB403)?
- How much uncertainty can differences in base meteorological periods introduce into the expected signal?

# Box-Model Examination of Nitrate Formation



Using actual measurement data (i.e., no input error) to test only the equilibrium partitioning indicates nitrate prediction will have large uncertainty. AIM=gold std. We need to school our expectations.

# Examination of Nitrate Replacement of Sulfate



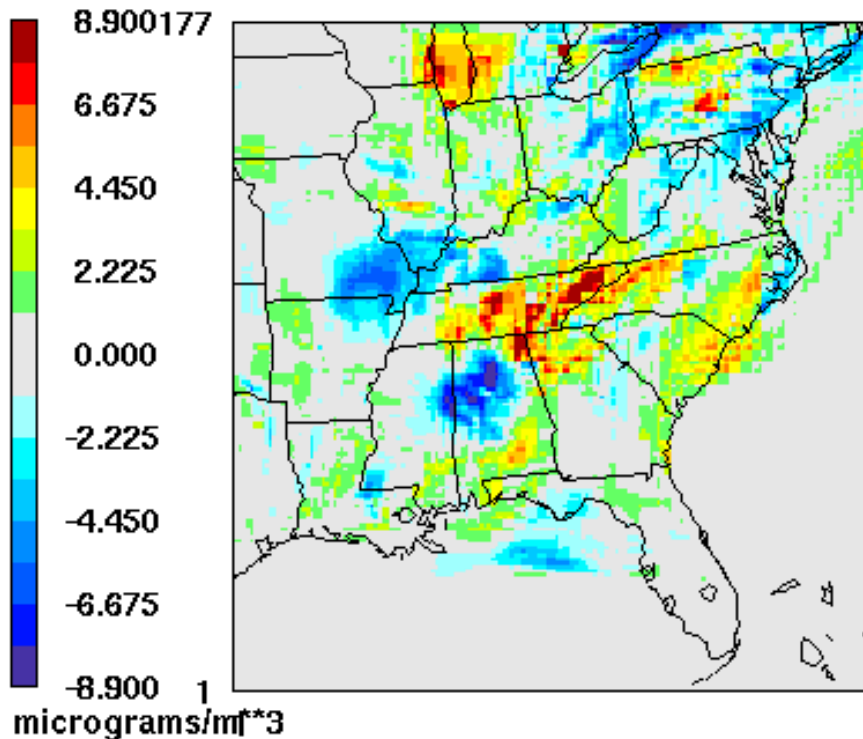
There is a major difference in the  $\text{NO}_3^-$  response to an  $\text{SO}_4^{2-}$  reduction between the gold standard AIM and ISORROPIA that is used in CMAQ. This is a concern and needs testing.



# Examination of Sulfate Production as Function of Grid Size

## Delta ASO4J

Vistas 36km masscons-12km masscons  
24 hr average



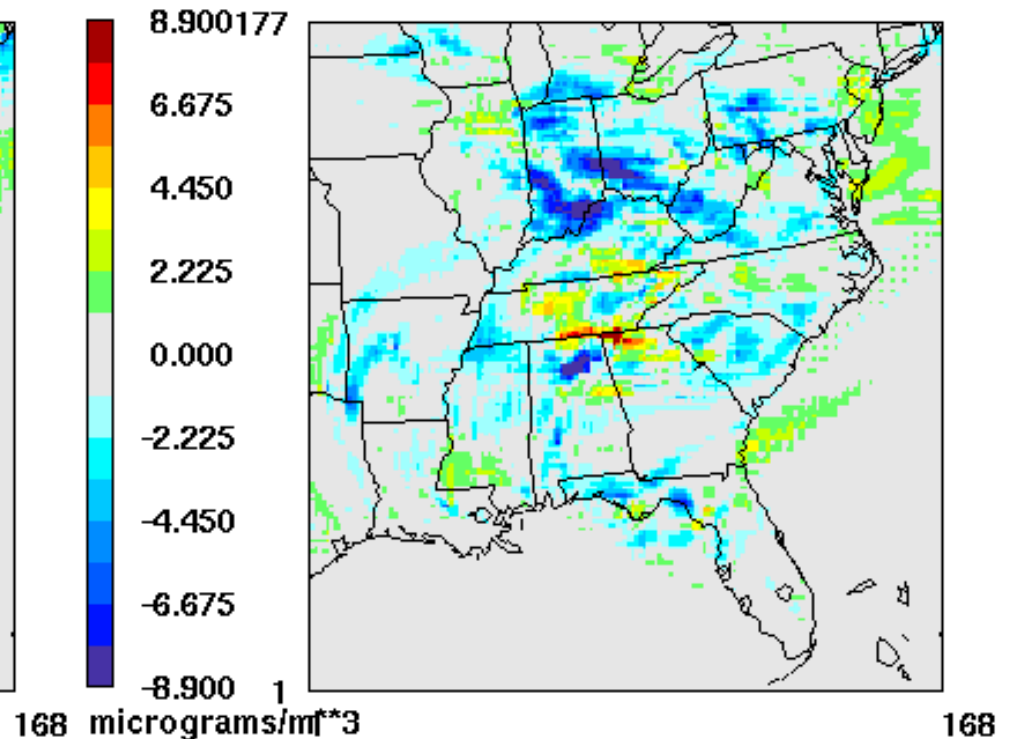
July 18, 1999 0:00:00

Min= -11.370 at (70,84), Max= 14.073 at (89,171)

PAVE  
by  
MCNC

## Delta ASO4J

Vistas 36km masscons-12km masscons  
24 hr average



July 20, 1999 0:00:00

Min= -14.723 at (70,85), Max= 11.233 at (82,94)

PAVE  
by  
MCNC

# Observations

- Only so much we can cover at one time. Lots to do. There is a need to publish. Not all work is publishable.
- Diagnostic evaluations depend on close coordination with model developers. We are coming into conflict with demands on them to improve the model as rapidly as possible.
- Post docs are an invaluable help. We have suffered a large discretionary budget reduction and have lost basic support for model evaluation post docs.
- We get “captured” by special data sets. We hope that interpretations generalize, but place or season does not always do so.
- Inverse modeling raises a ticklish issue. Do we run the model with “blessed” inputs or do we run the model with inputs that give the best control strategy response? Some charge inverse modeling is “tuning”.