

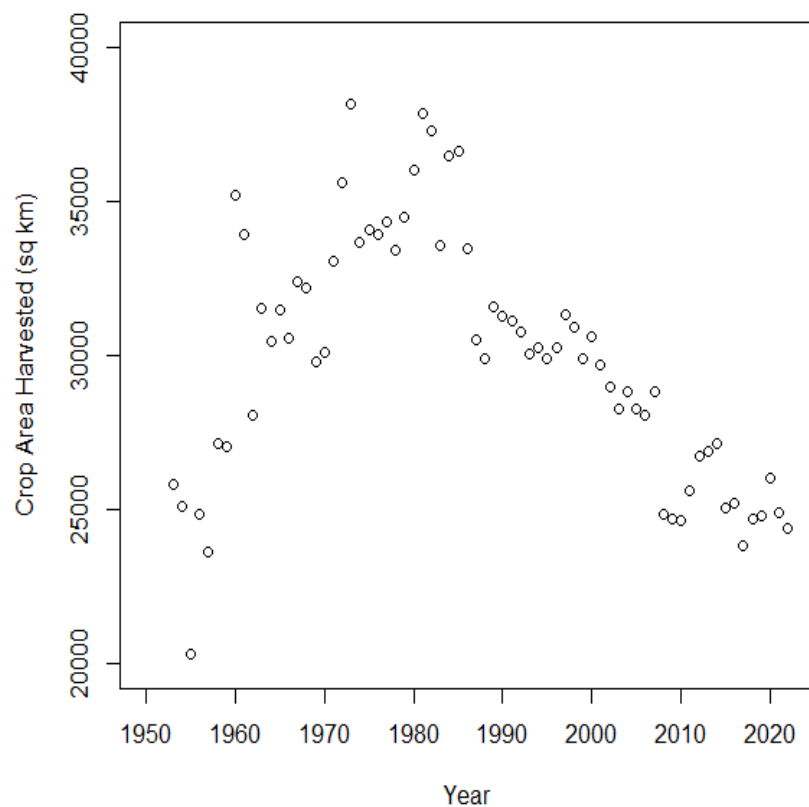
# Crop Yield Calculations for Estimating Nutrient Application and Projecting Future Demand

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ORISE Fellow, CBPO Modeling Team

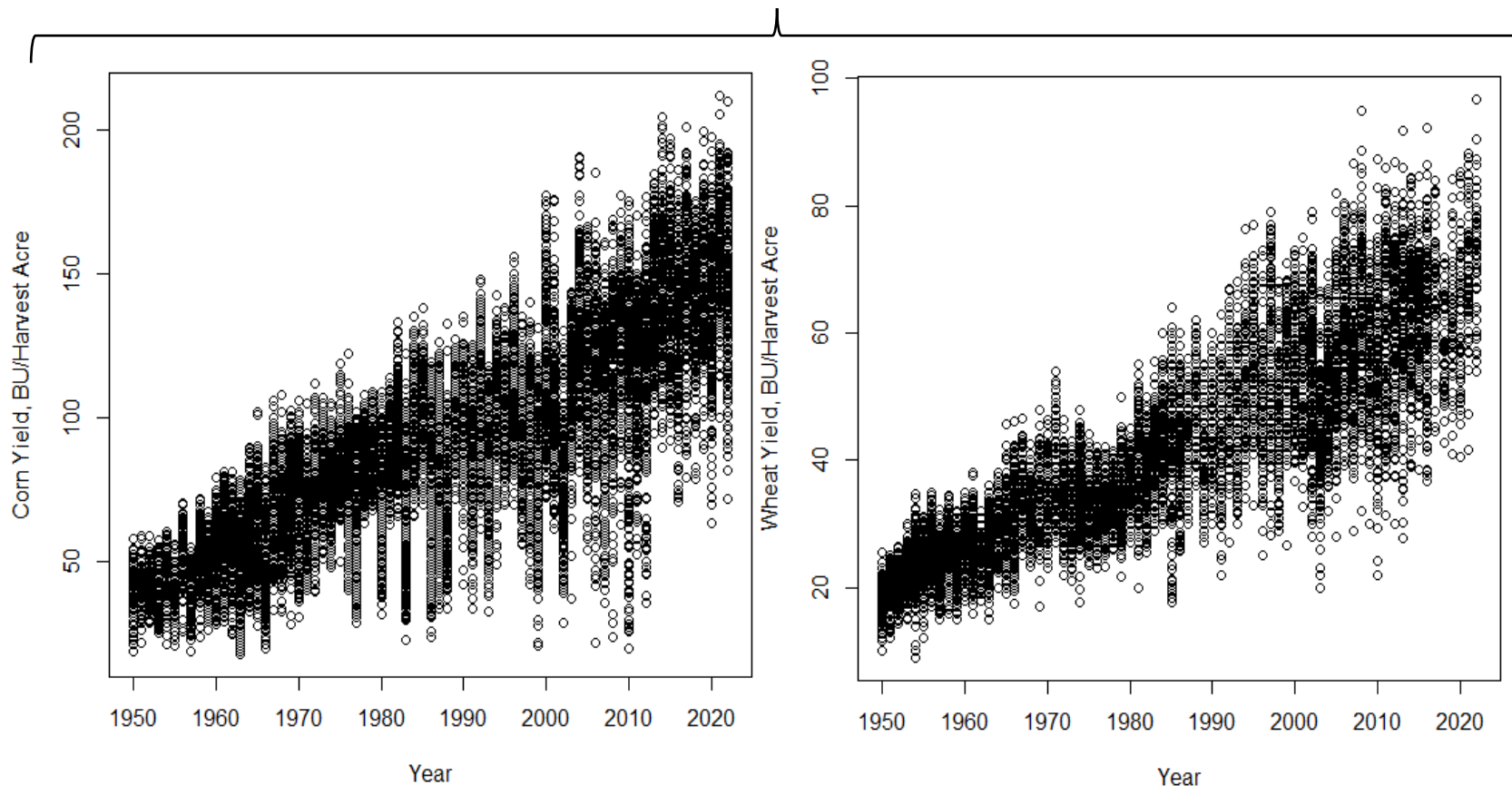


# Changing cropland area... AND changing crop yields

CBW Cropland Area

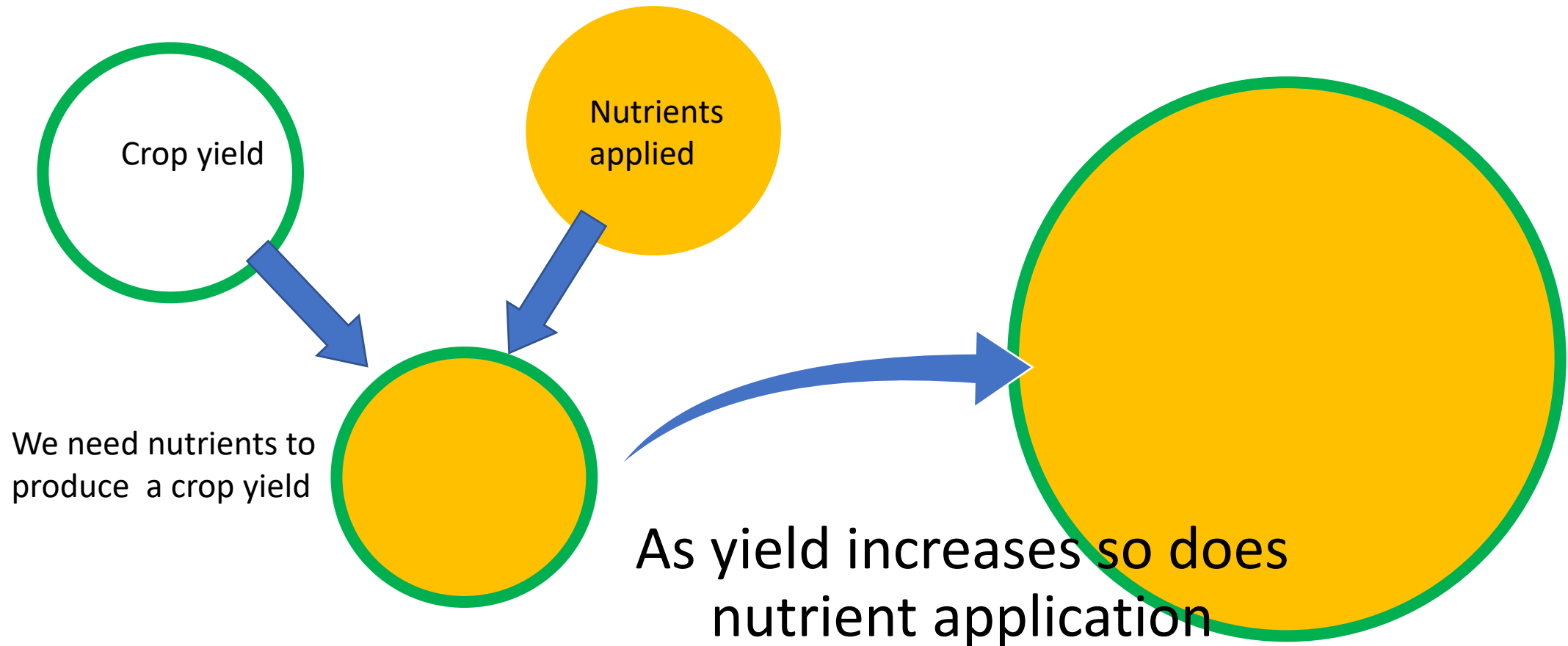


Example CBW County Crop Yields

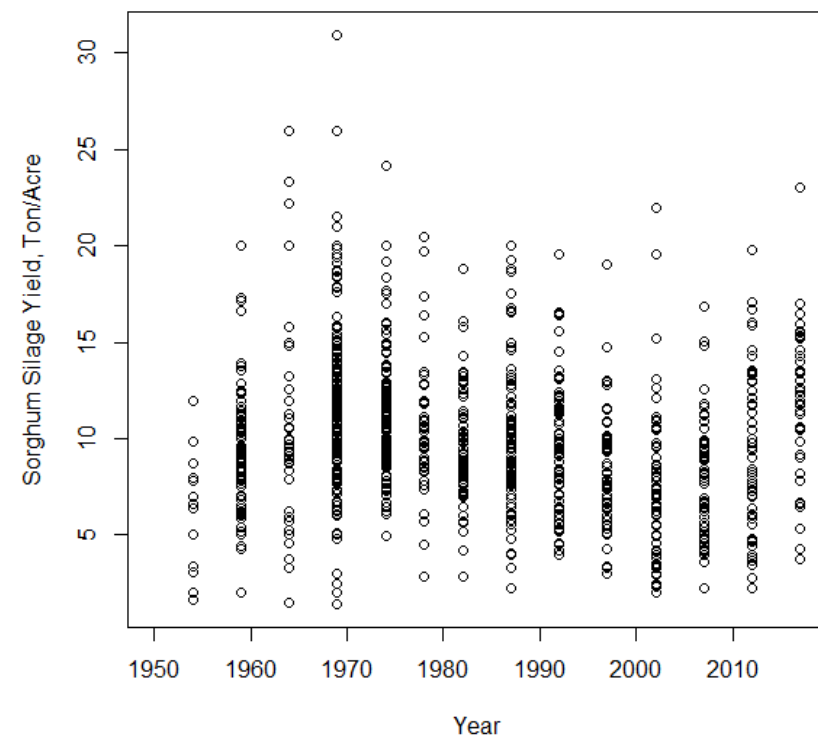
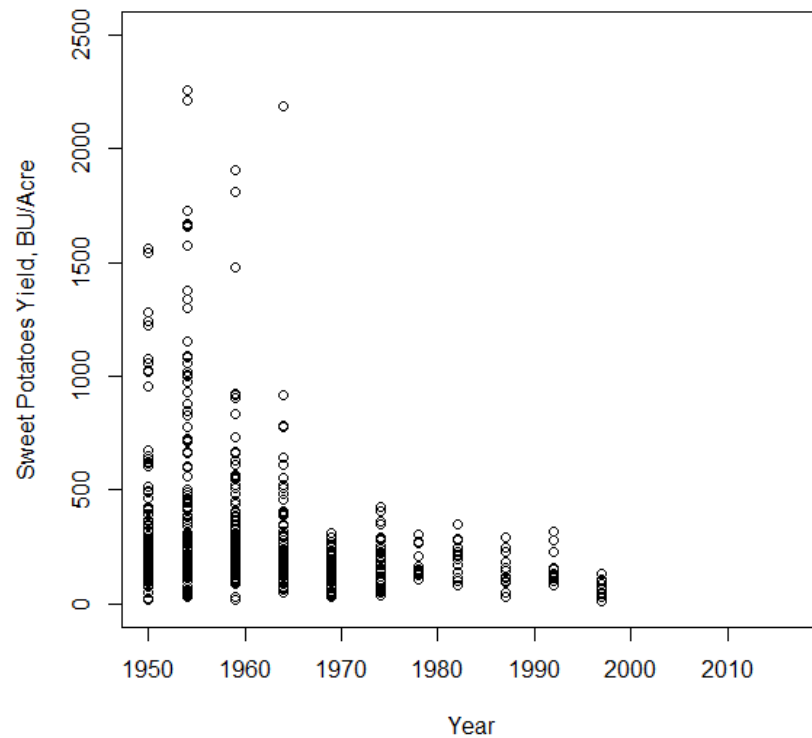
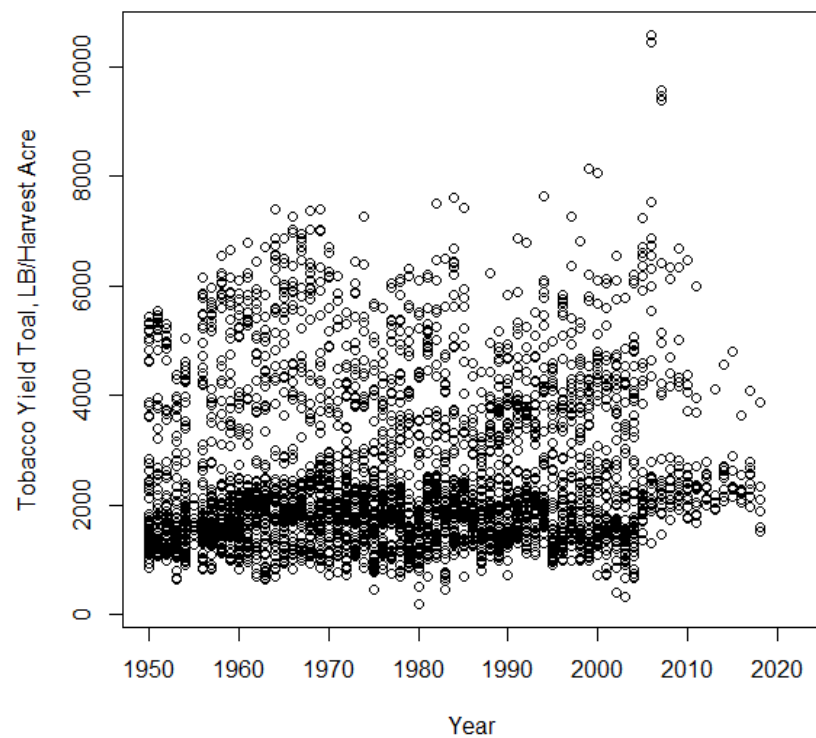


# Why crop yields matter

- Yields and nutrient applications are tied together

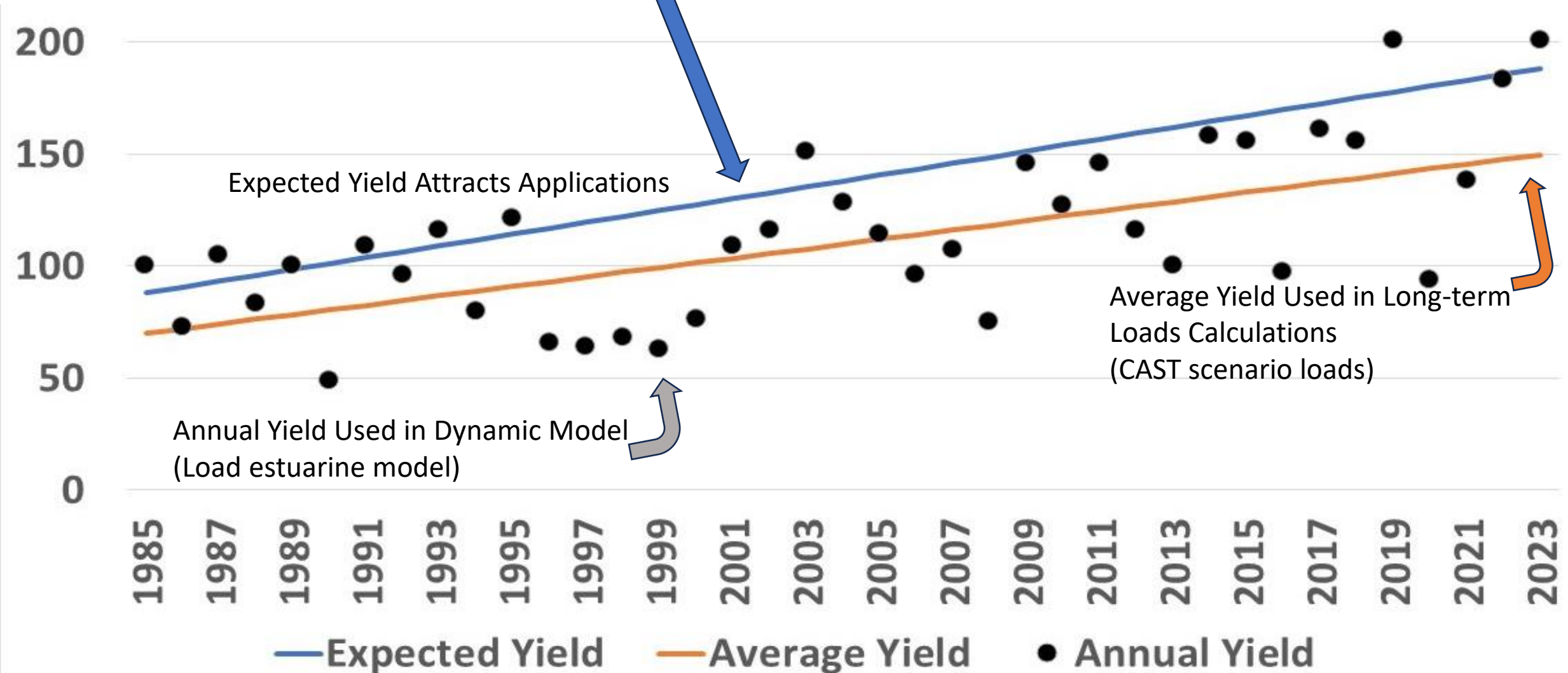


# Changing cropland area... AND changing crop yields



\*EXAMPLE  
DATA ONLY

$$N \text{ applied}_{(\text{crop } i)} = \text{Acres}_{(\text{crop } i)} * \text{Expected Yield}_{(\text{crop } i)} * \text{lbs N/unit yield}_{(\text{crop } i)}$$

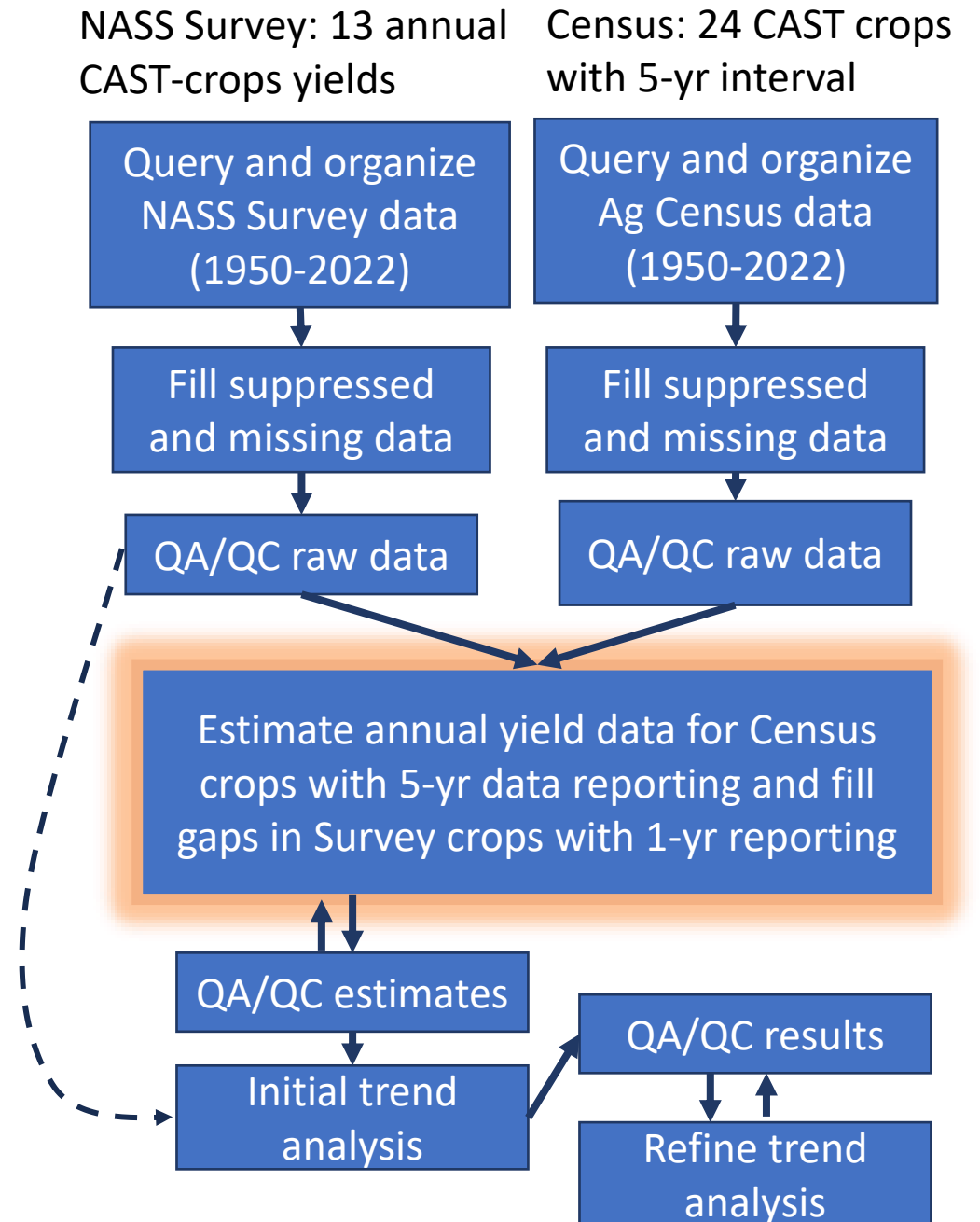


# Planned path for investigation

## Goals:

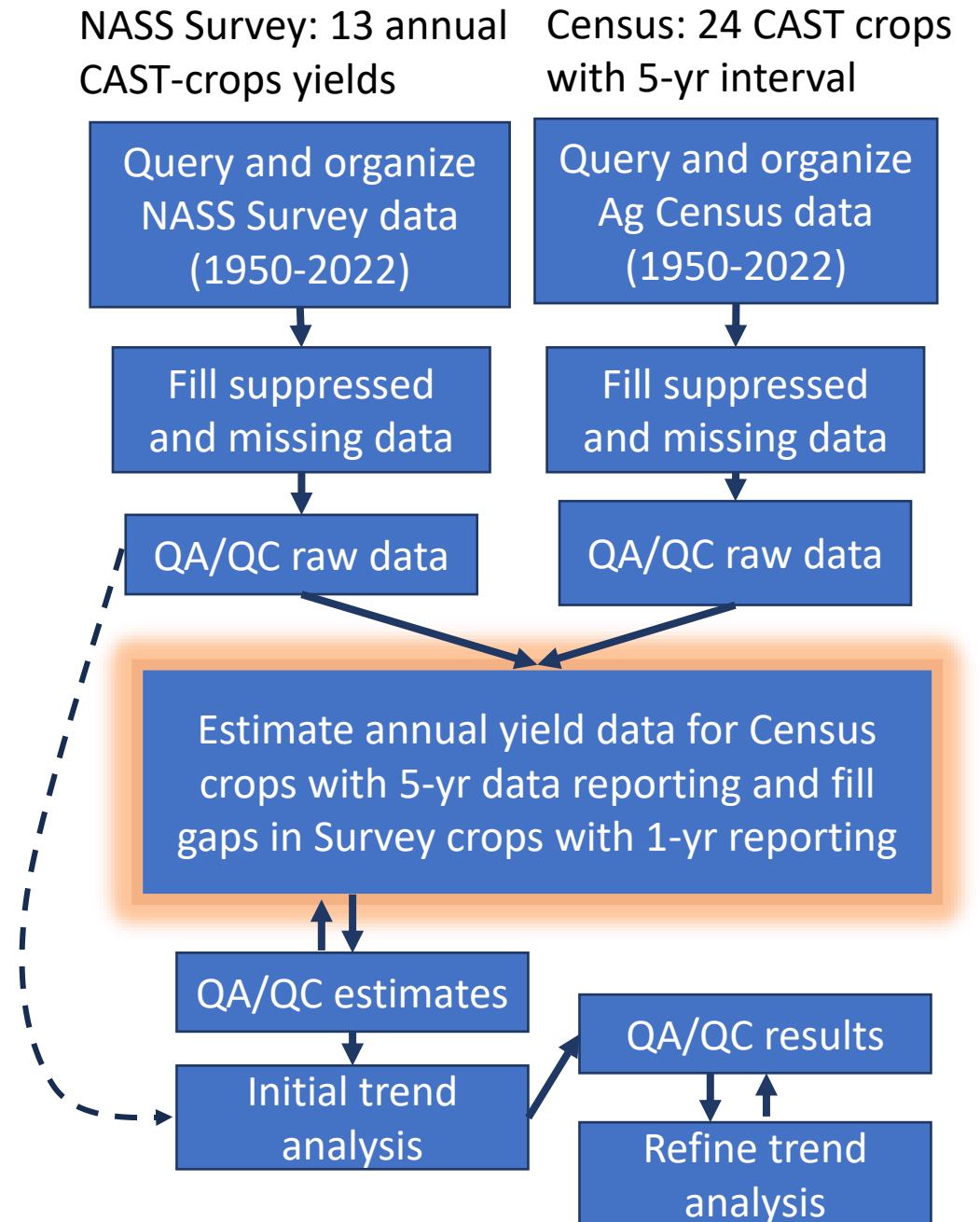
- Estimate farmer yield expectations at the county level which drive the application of nutrients.
- Estimate average yield trends.

Approach: Use trend analysis of long-term annual crop yields to develop several potential scenarios of yield expectation at the county level.



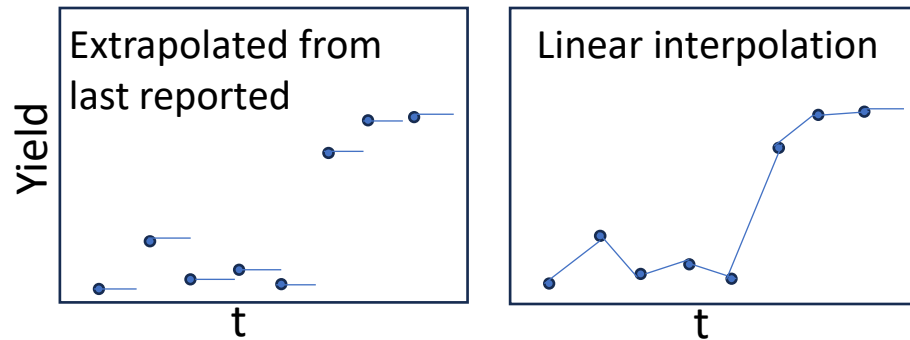
# Crop data collection

- 94 CAST-crops with both a potential yield and N-application
  - Excludes pasture, fallow, unmanaged or wild covers
- “Complete” data for 23 of these CAST-crops
  - Complete = data spanning >85% of period 1950-2017
  - **91% of crop land area, 95% of N applied to crop land**
- Partial data for an additional 40 crops
  - Partial = partial spatial range, partial time range, state-level only
  - 2.2% of crop land area, 3% of N applied to crop land
- No yield data for 31 crops
  - 6% of crop land area, 2% of N applied to crop land



# Annual estimation of yields from available data and environmental variables

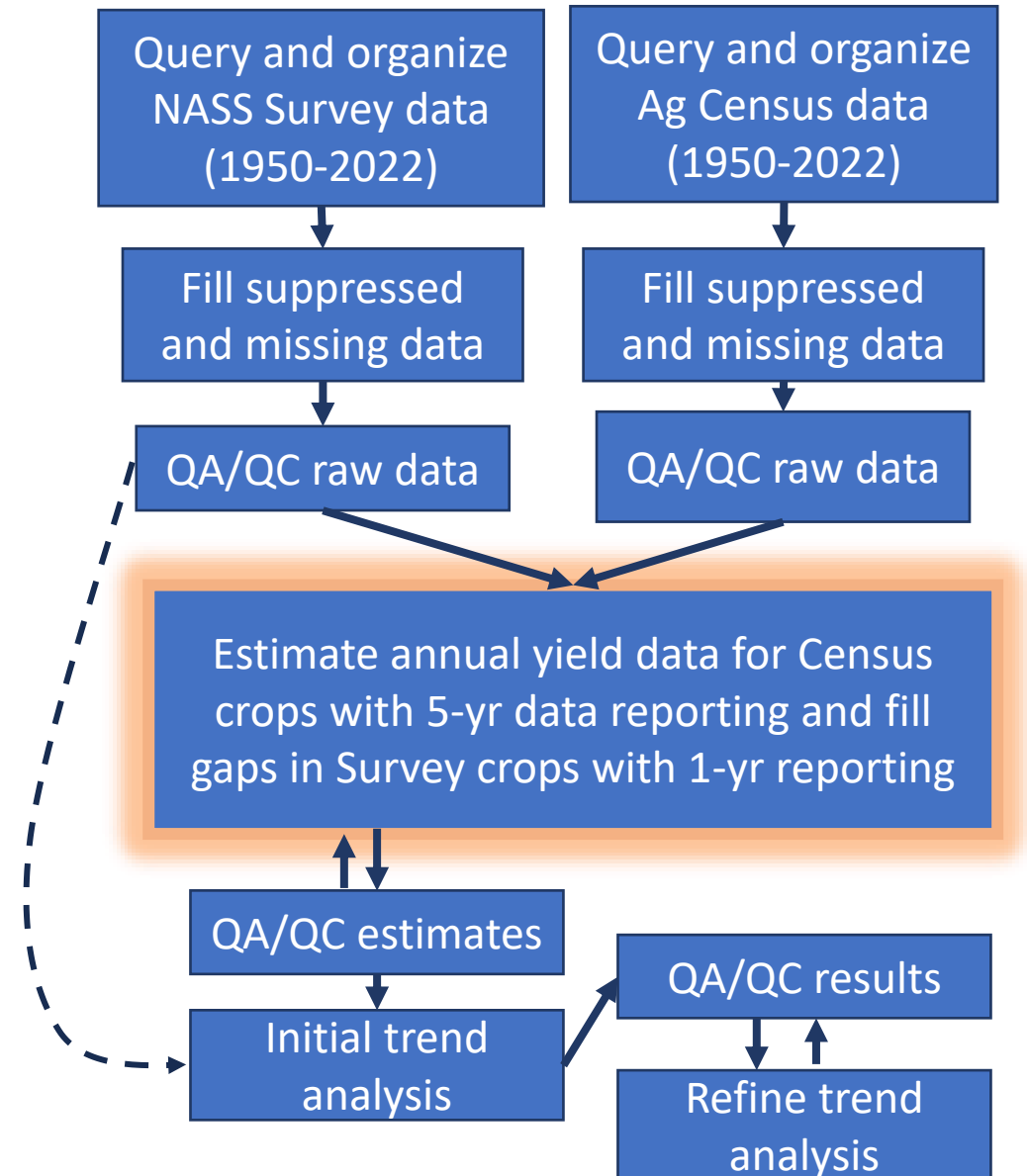
- Data gaps or coarse data can introduce uncertainty and errors in annual estimates. Conceptual examples:



- Consecutive Census years coincident with bad weather/yield years can skew trend analysis.  
“USDA-NASS Census reported crop yields which reflected lower than expected yields due to negative weather influences. These reports have a dampening effect on the increase of crop yields in more recent years.” –Mark Dubin

NASS Survey: 13 annual CAST-crops yields

Census: 24 CAST crops with 5-yr interval





# Aggregate to growth regions for more consistent yield data

## *The problem*

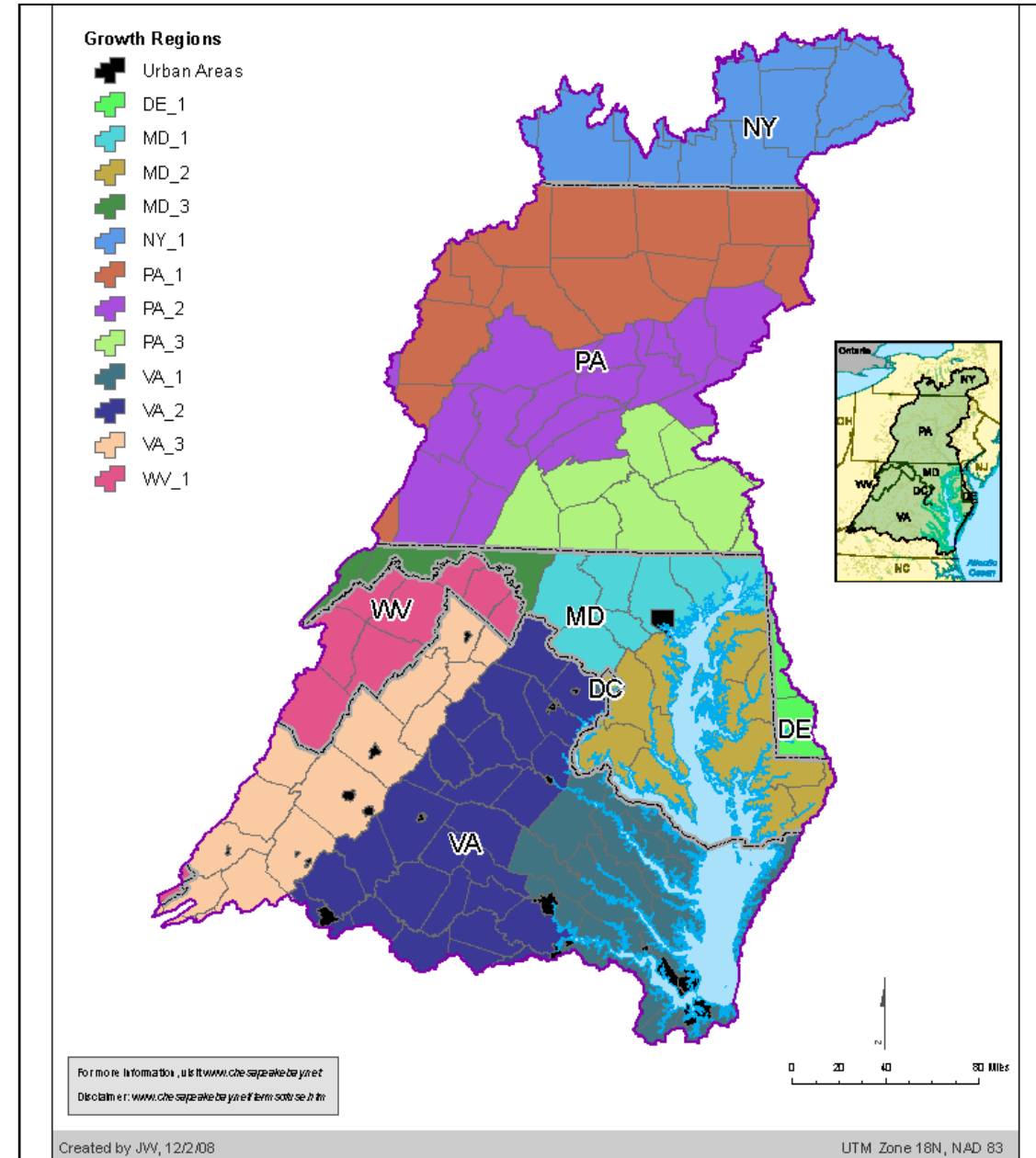
- At county level survey response data can be noisy
- There are gaps in county level data for many reasons

## *The solution*

- Aggregating to the growth regions averages out noise and creates more complete time series
  - Aggregation=Weighted average by the county cropland area. Same method applies to yields and predictors.

## *Trade offs*

- Compresses variation in geospatial predictors and reduces spatial statistical power (from many counties to few growth regions)
- The growth regions become the most important geospatial predictor



# Potential statistical modeling methods

$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions, time, weather})$$

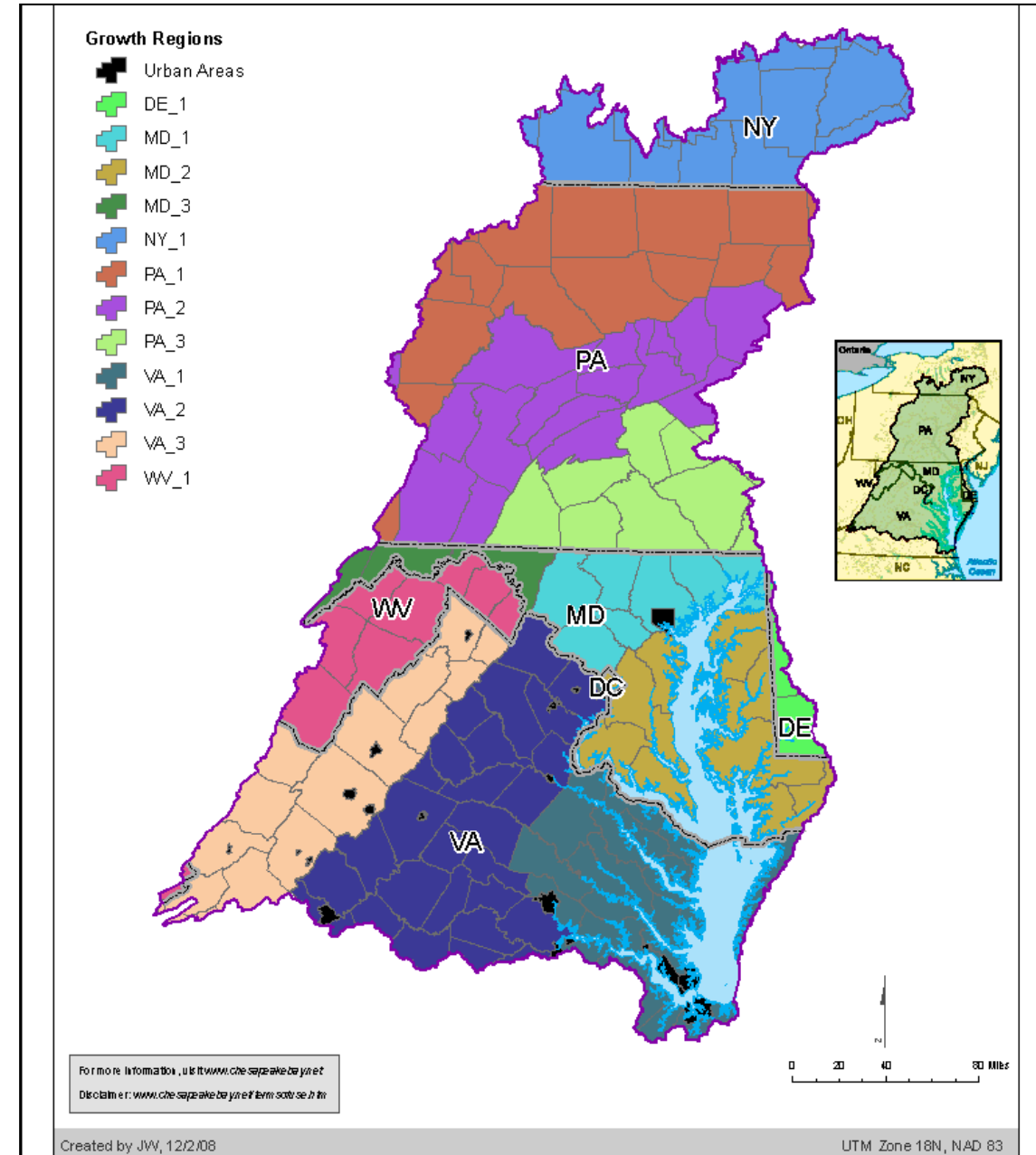
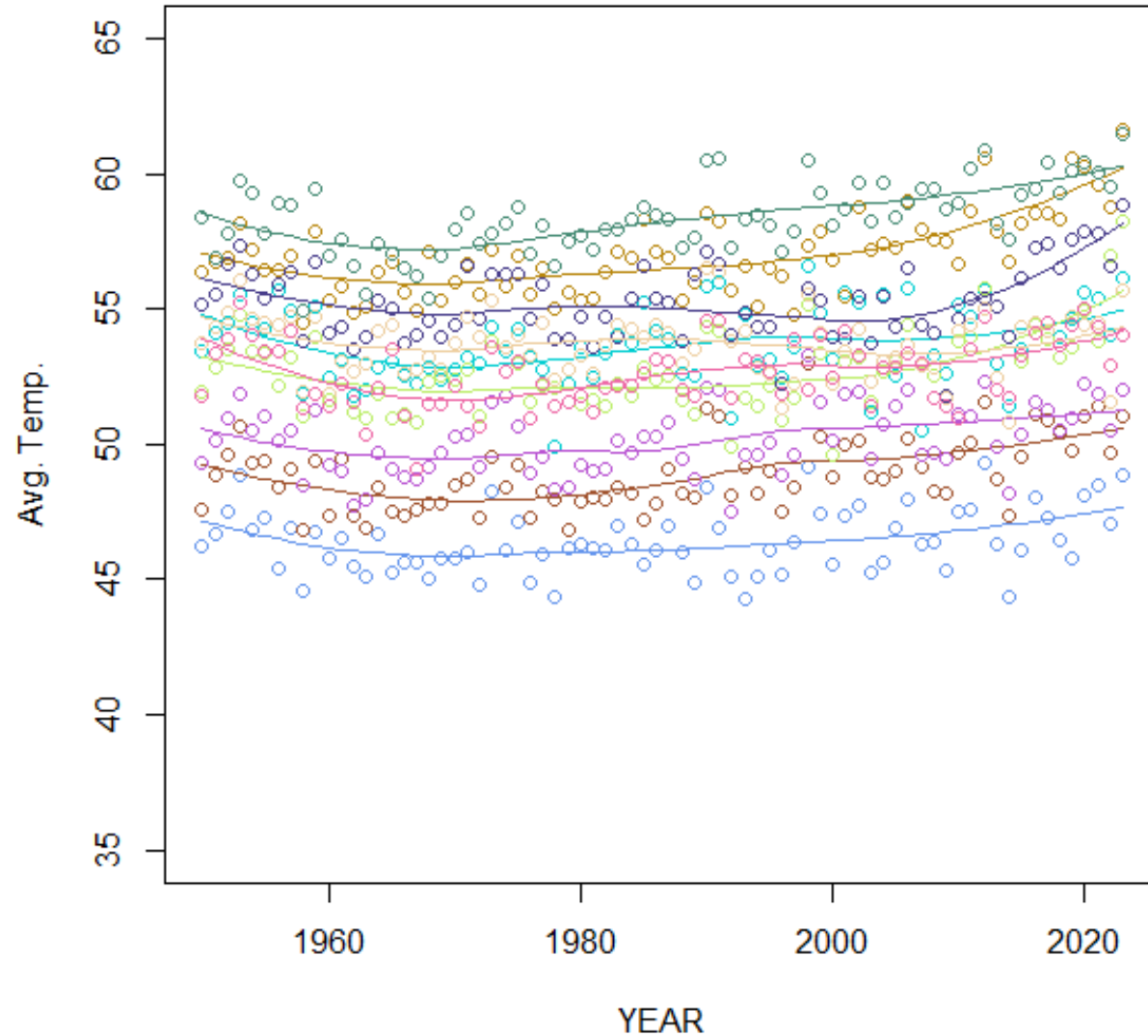
$$\text{Yield}_{\text{crop } i} \sim f(\text{time, growth regions: weather, GR: time})$$

$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather})$$

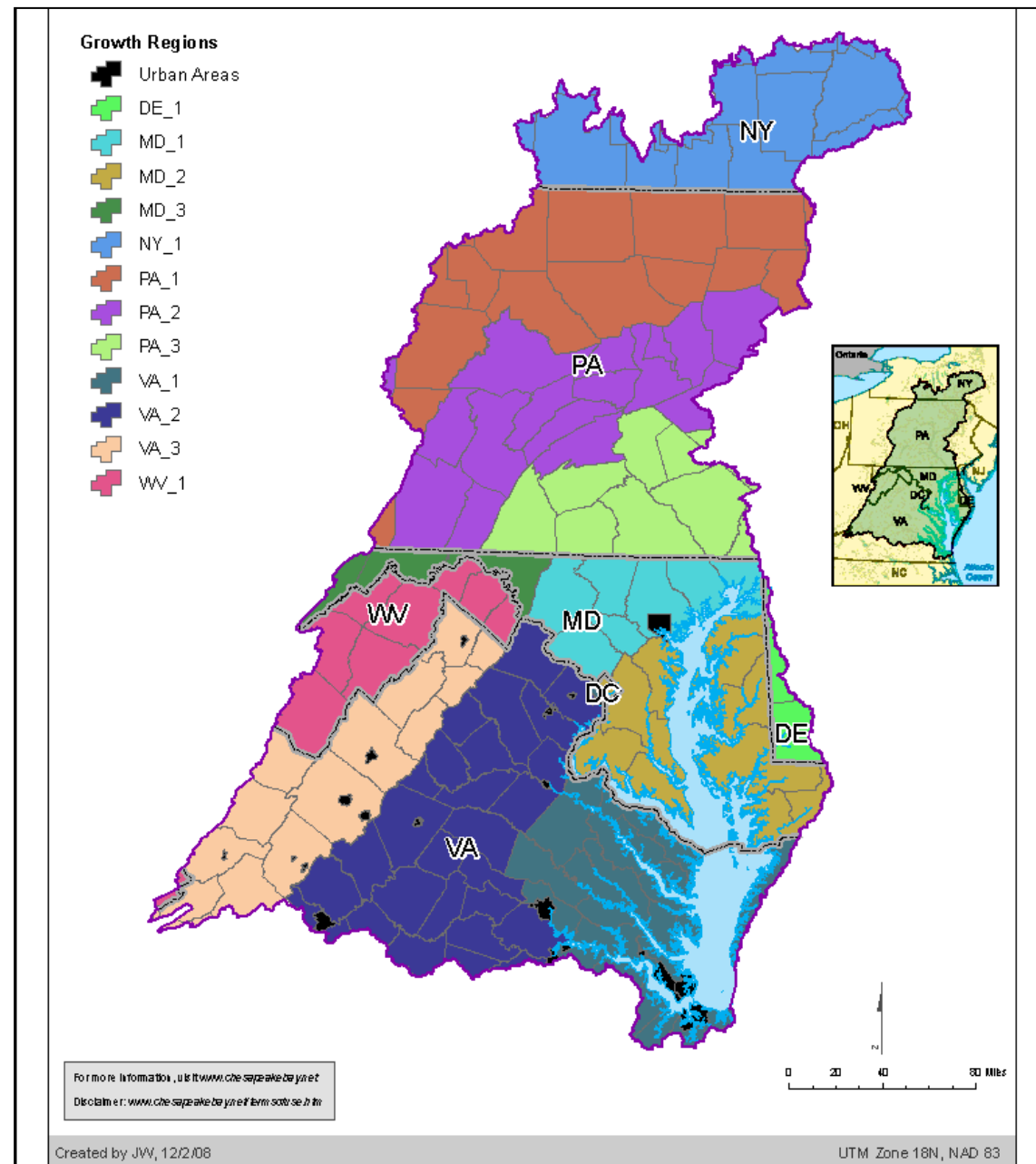
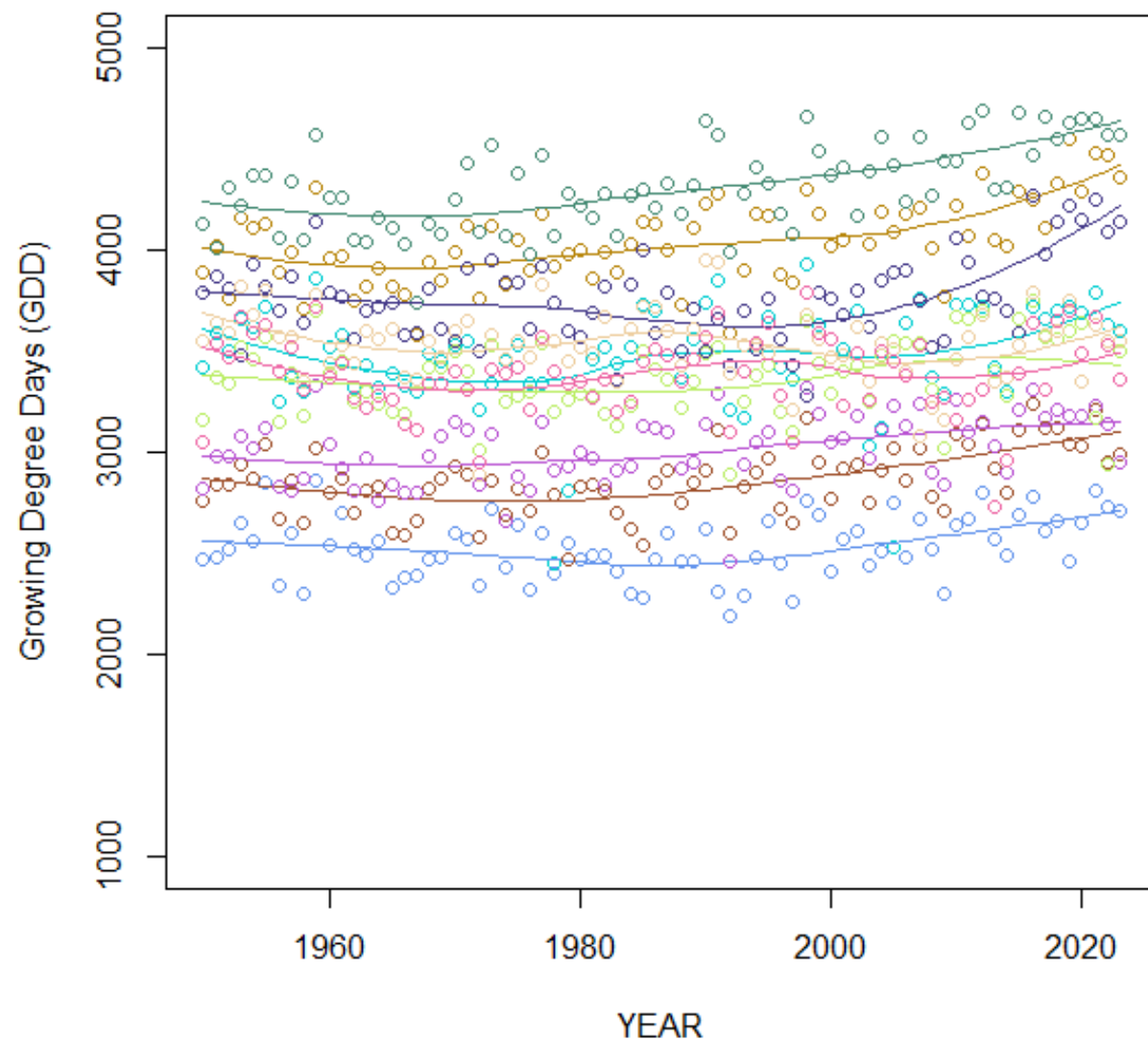
$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather, crop yields})$$

↓  
Corn-grain    Oats  
Corn-silage    Wheat  
Barley    Soy  
Alfalfa

# Weather data: Temperature

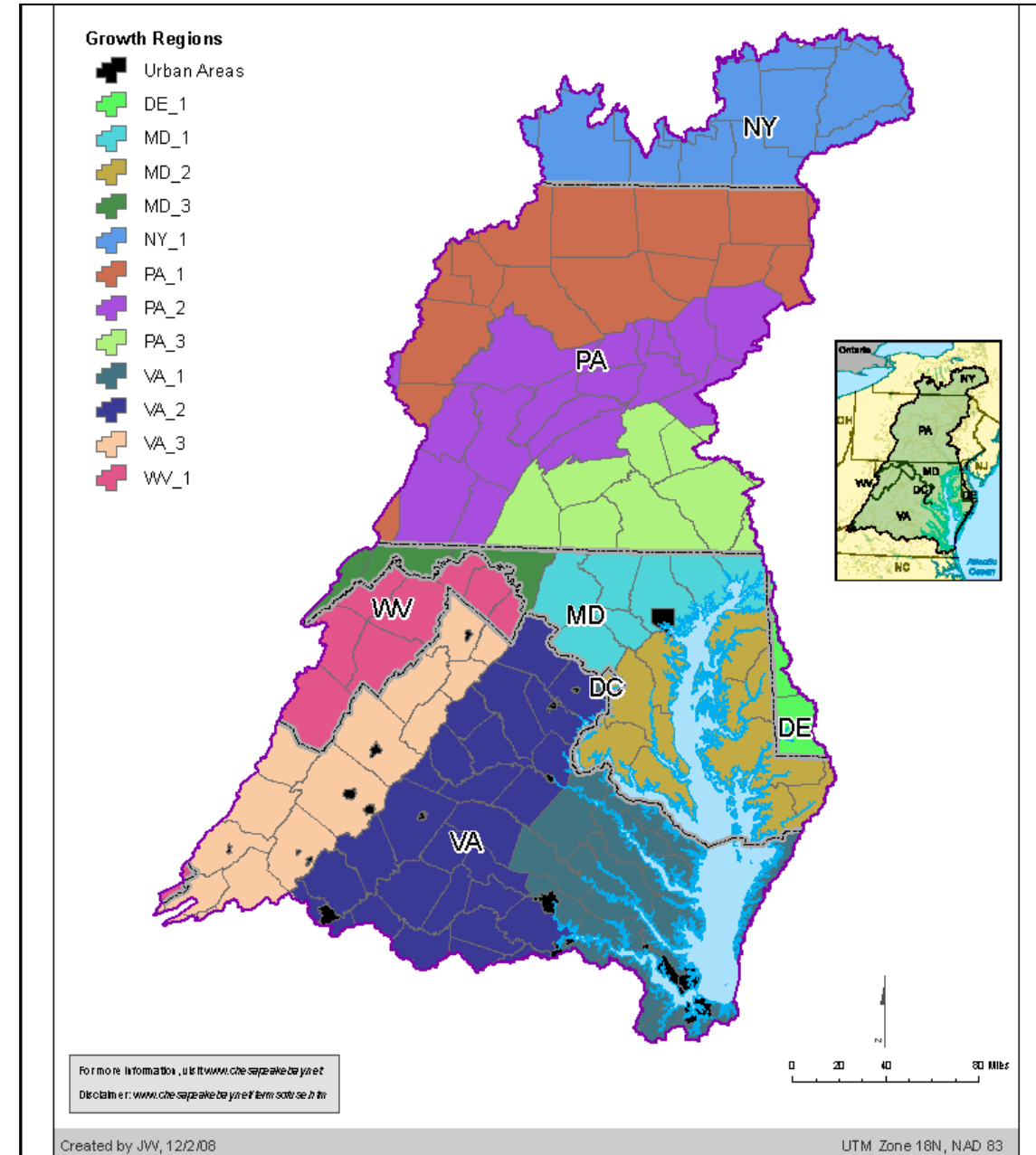
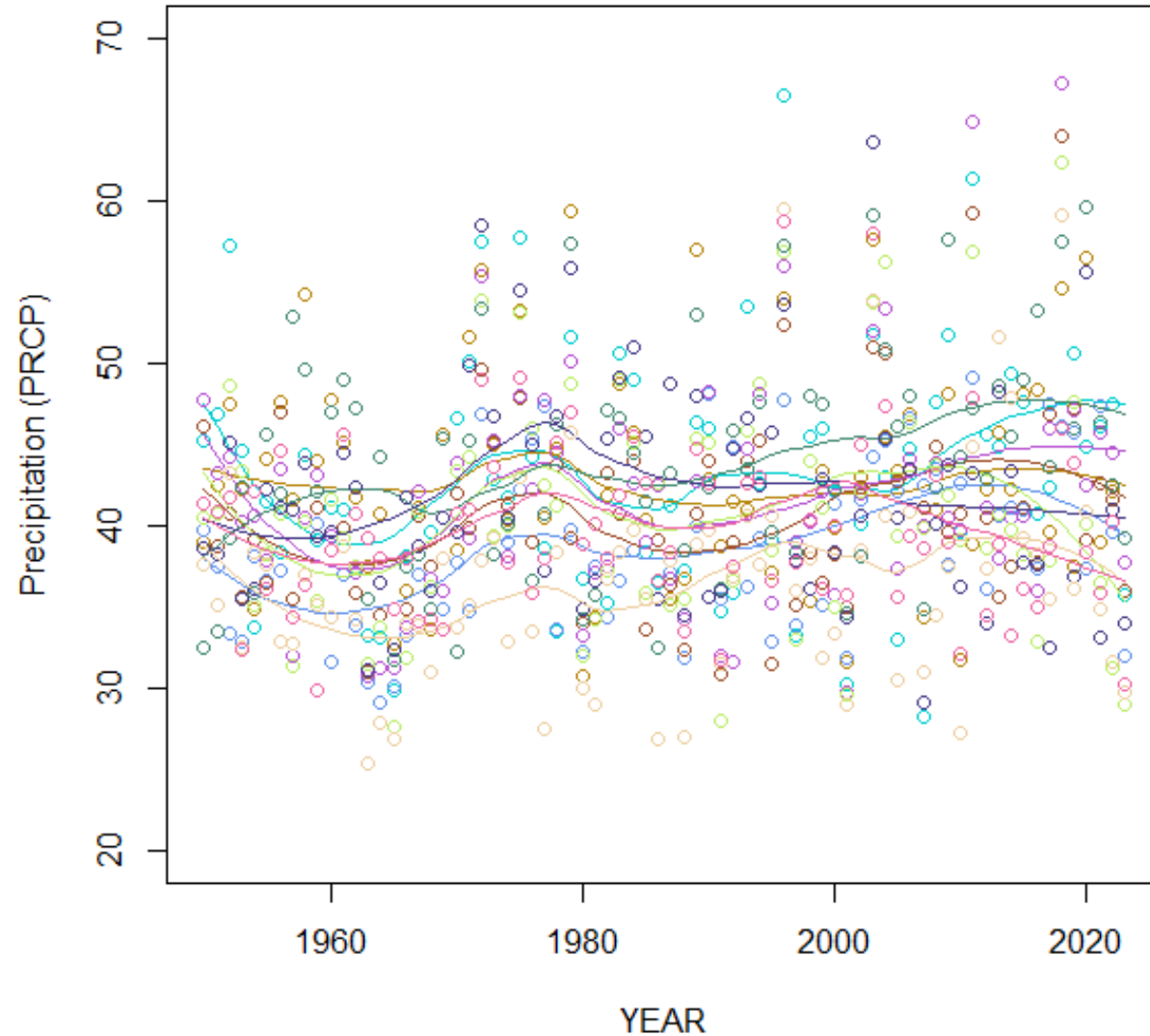


# Weather data: GDD

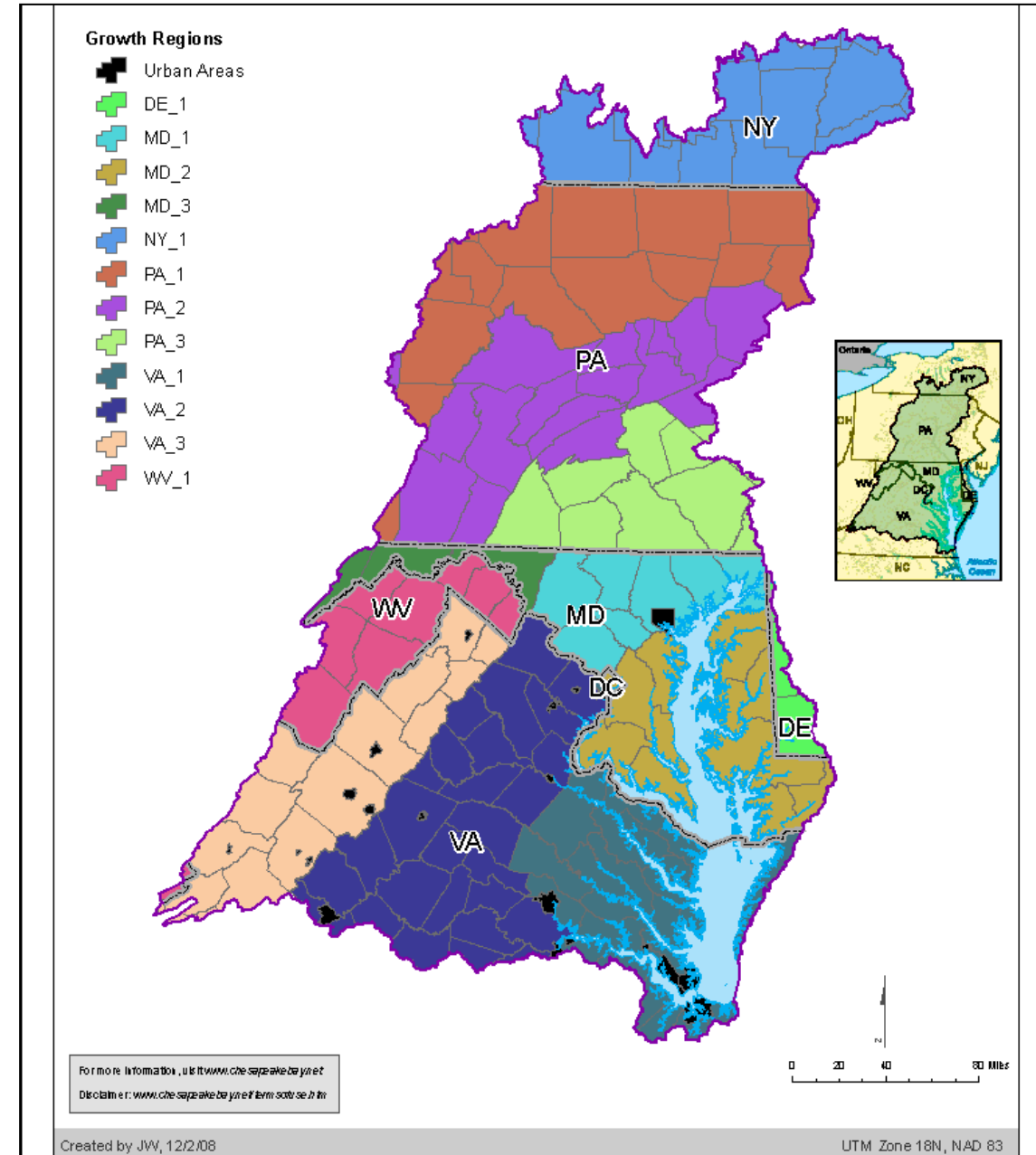
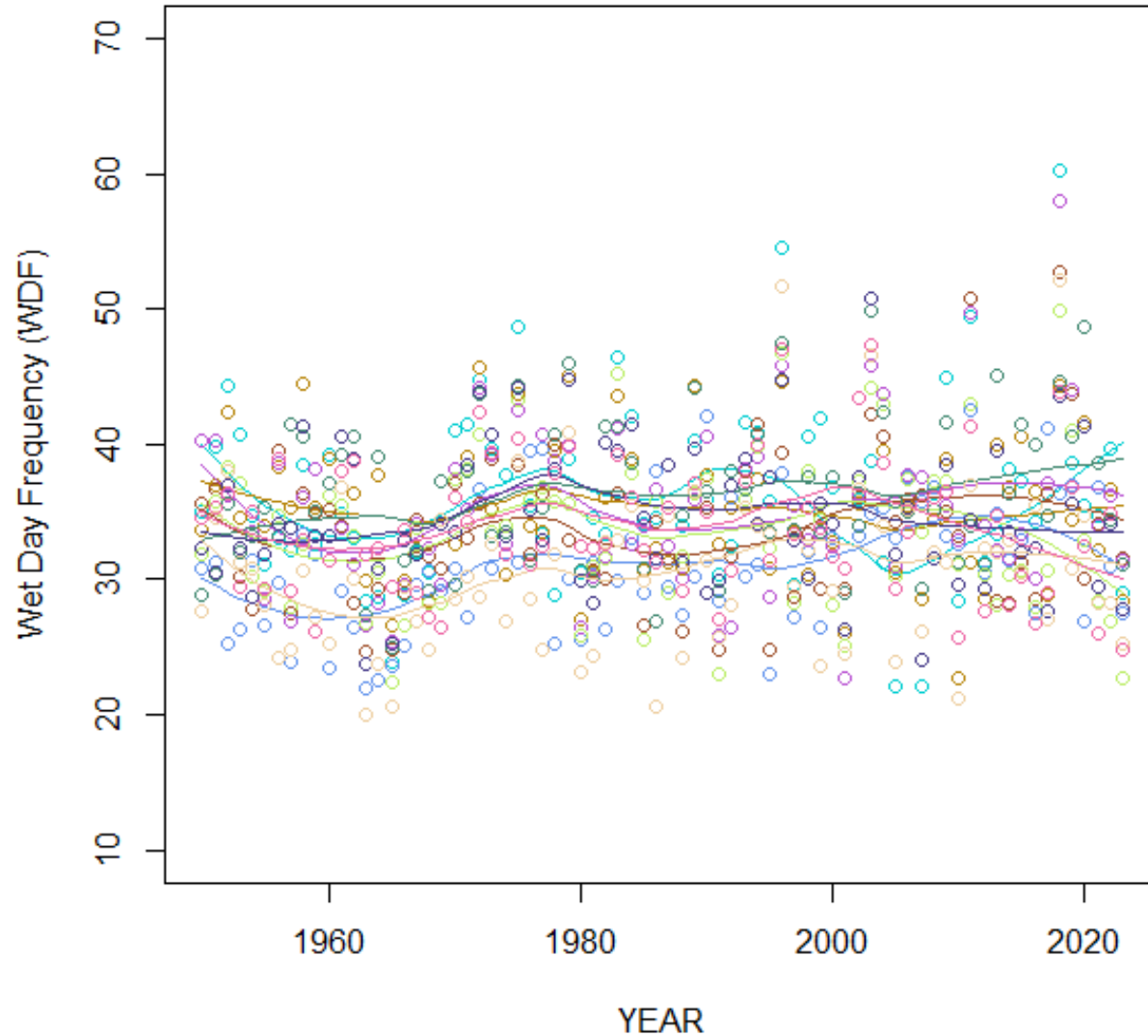




# Weather data: Precip.



# Weather data: Wet Day Freq.



# Preliminary statistical modeling

Lots of good options, need to evaluate based on:

- consistency/stability
- complexity
- variation explained

$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions, time, weather}) \quad R^2 \sim 0.46$$

- Single model per crop with greater consistency in estimate yields between growth regions

$$\text{Yield}_{\text{crop } i} \sim f(\text{time, growth regions: weather}) \quad R^2 \sim 0.63$$

- Mixed effects model

$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather}) \quad R^2 \sim 0.71$$

- Generates many discrete models ( $i \times j$ ), requires automated model selection
- Stepwise model selection optimizing Bayesian Information Criteria

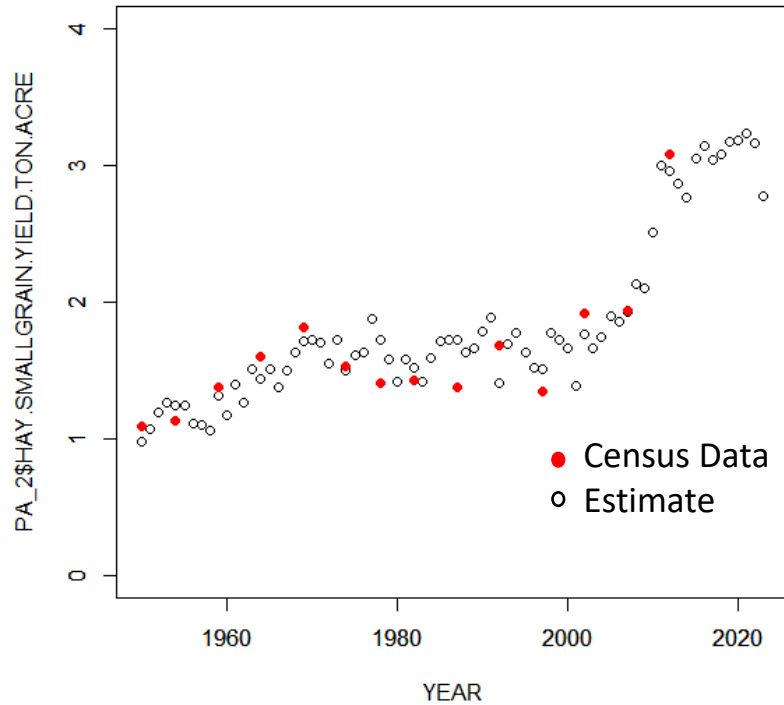
$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather, crop yields}) \quad R^2 \sim 0.88$$

- Greater opportunity for over parametrization
- Limited ability for projection outside crop data range

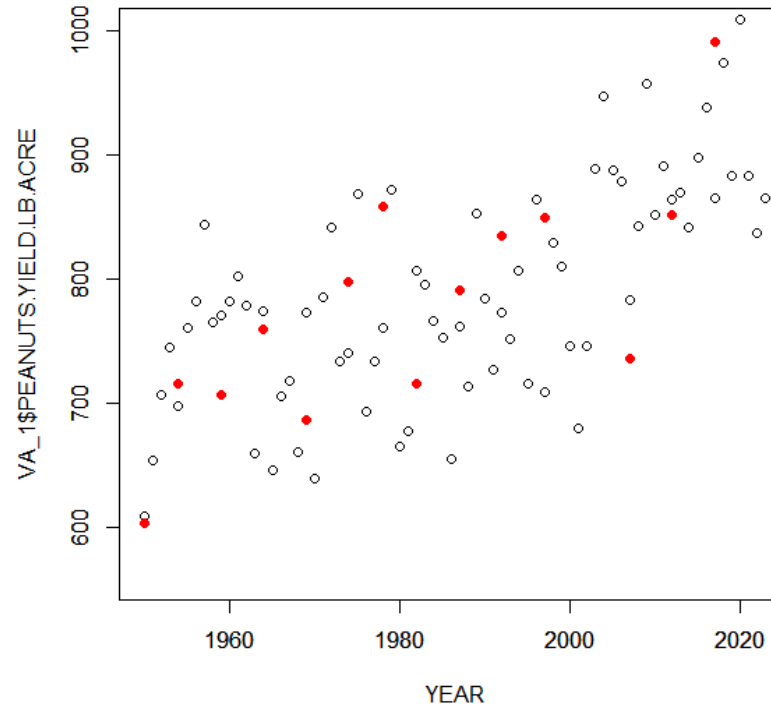
Corn-grain  
Corn-silage  
Barley  
Alfalfa  
Oats  
Wheat  
Soy

# Estimate examples

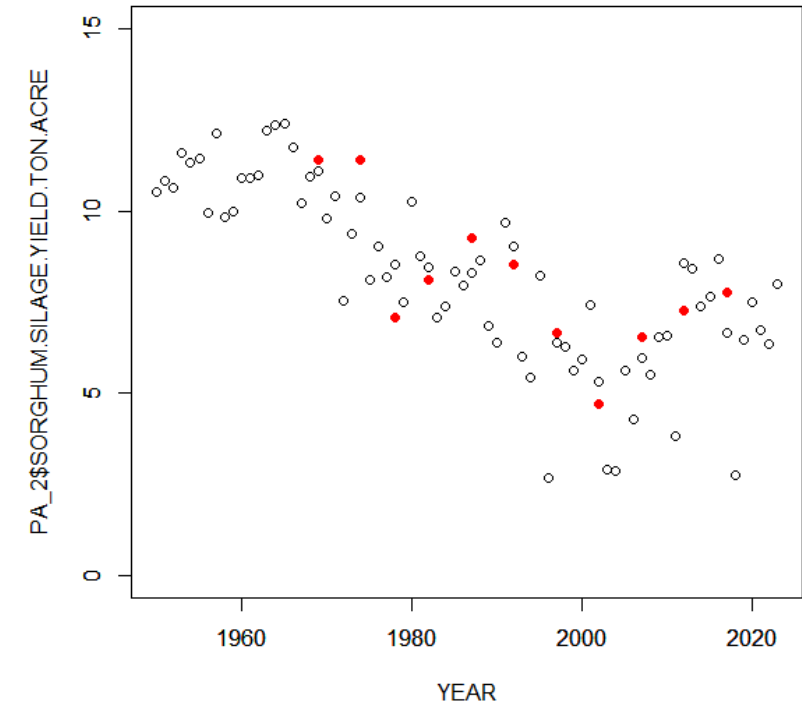
Hay.SmallGrain  $\sim 1.24e-02*YEAR + 8.84e-04*GDD - 2.47$ ,  $R^2=0.86$



Peanuts  $\sim 9.23*PRCP + 14.46*AvgTMAX - 530.54$ ,  $R^2=0.32$

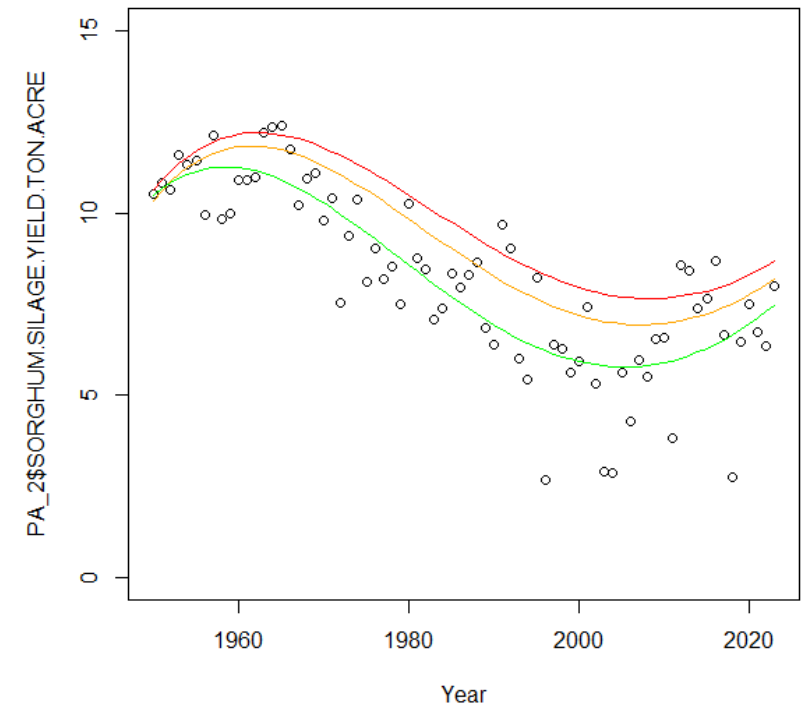
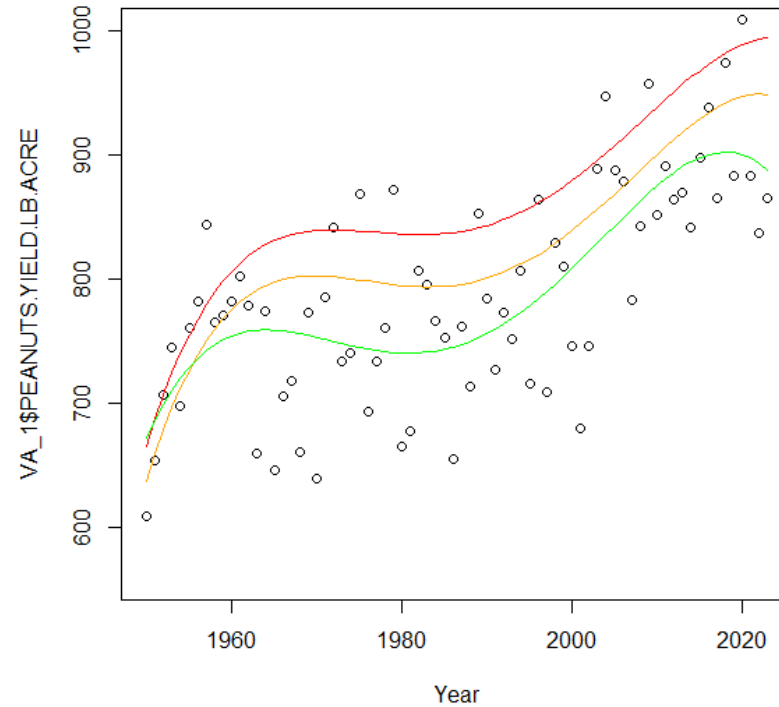
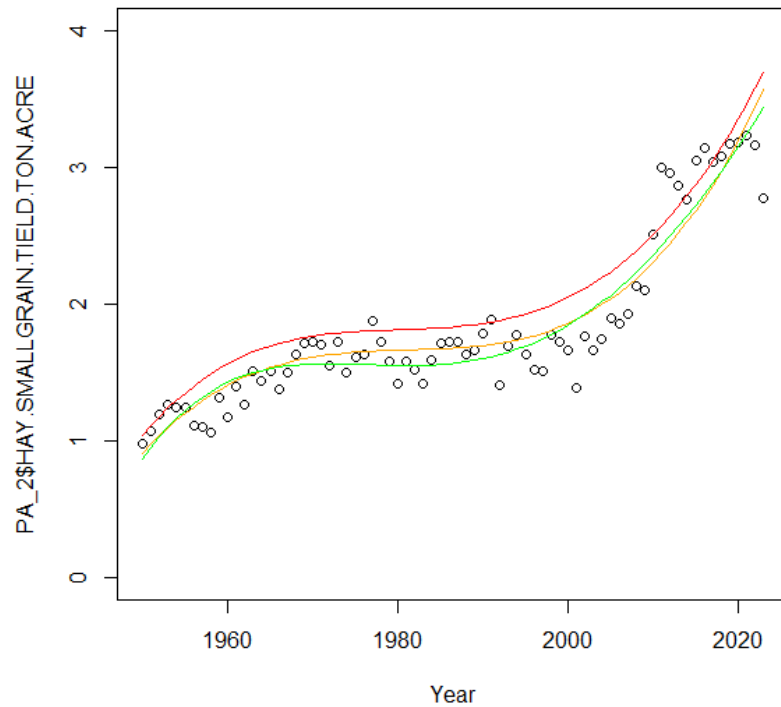


Sorghum.Silage  $\sim -0.11*YEAR - 0.20*PRCP + 0.22*AvgTMIN + 226.15$ ,  $R^2=0.80$





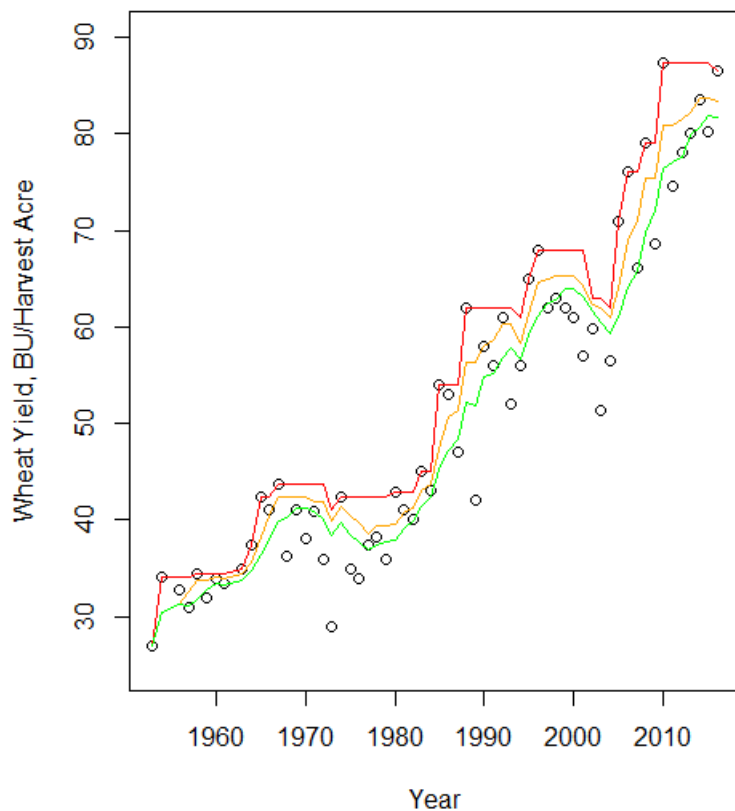
# Apply trend analysis to annual estimates



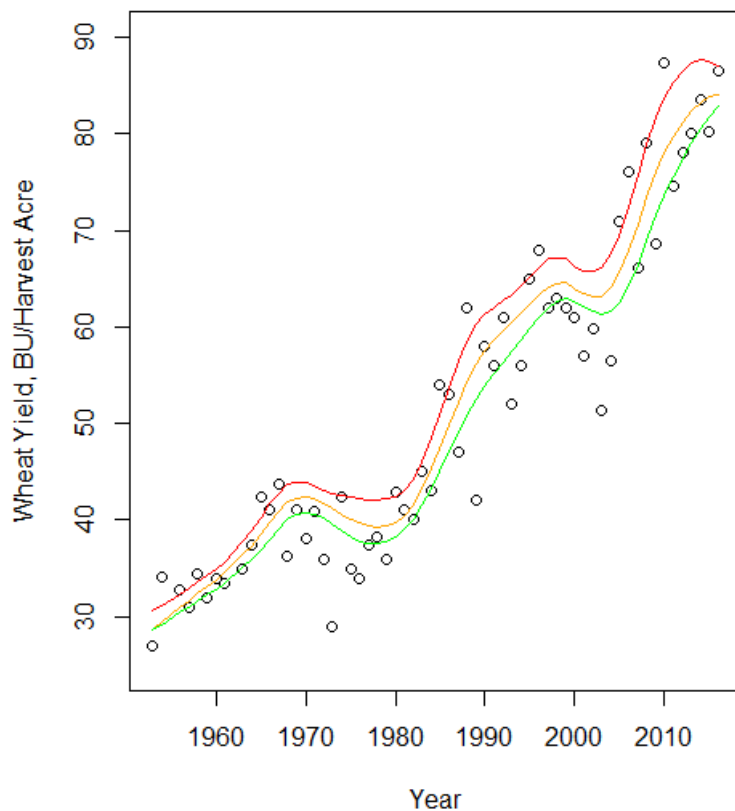
- Max yield expectation (5-year max)
- Weather independent yield expectation (Average of best 3 of past 5 years)
- 5-yr mean yield

# Various trend analysis options

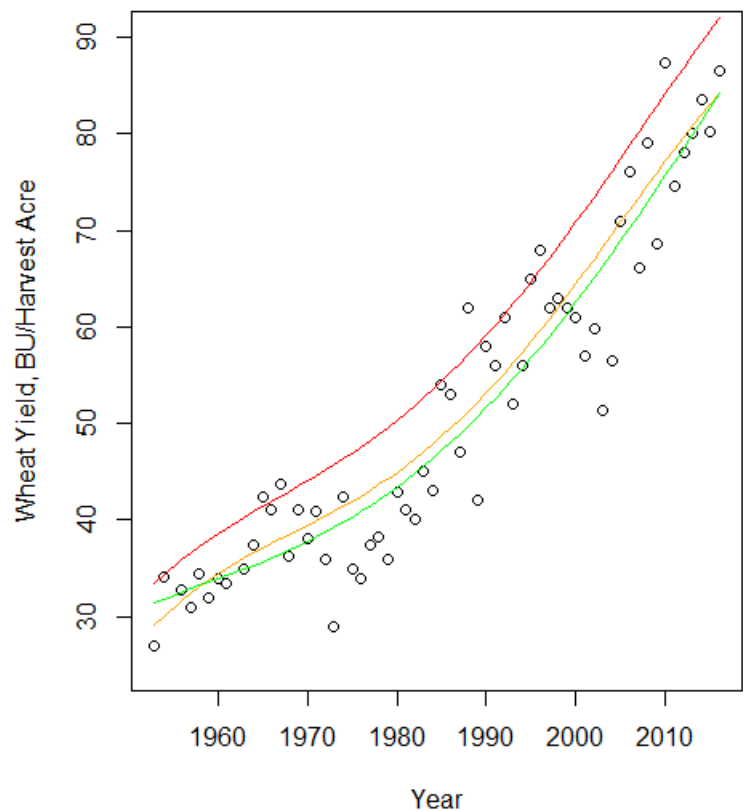
Moving window



Moving window smoothing



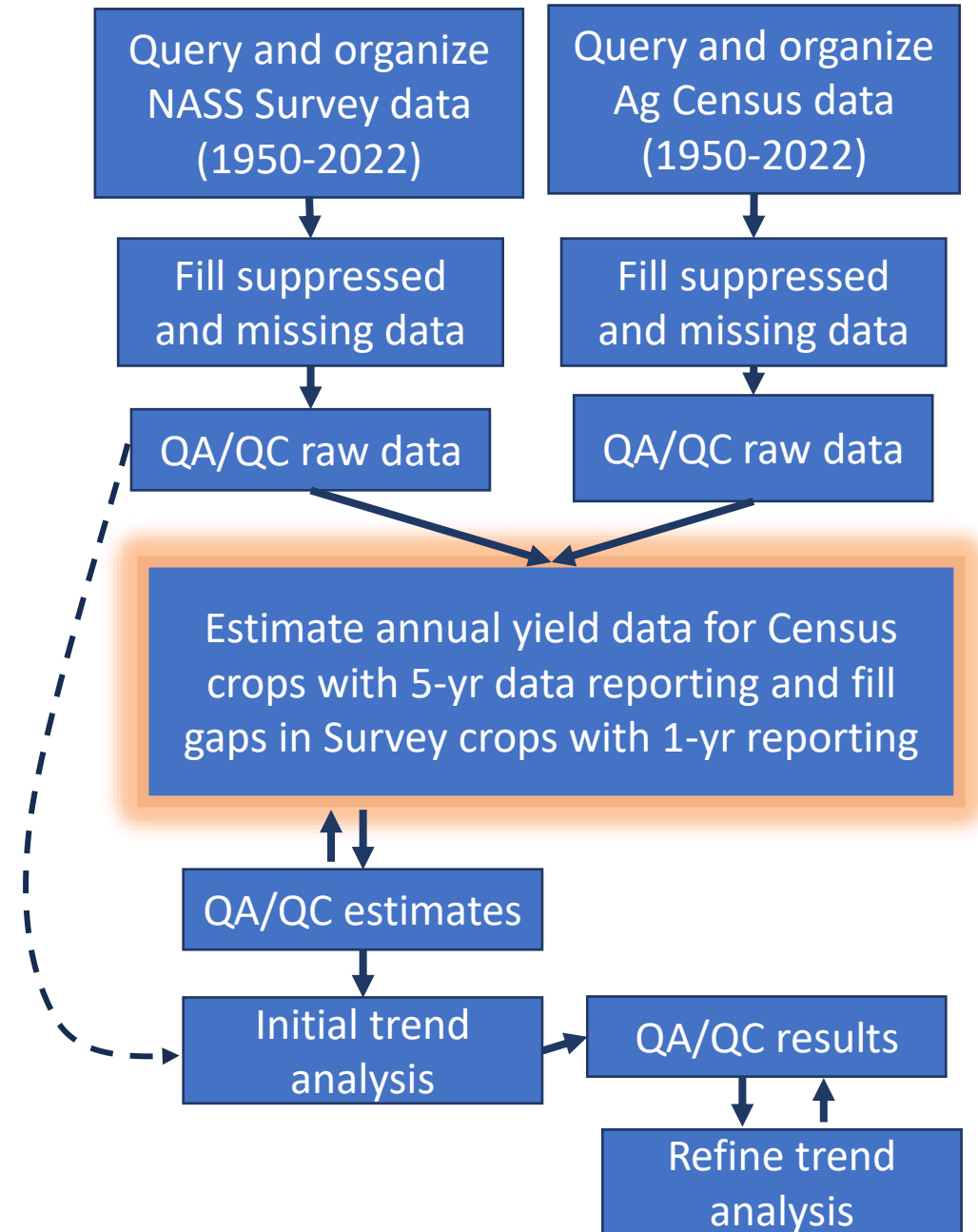
Regression, weighted residuals



- Max yield expectation (5-year max)
- Weather independent yield expectation (Average of best 3 of past 5 years)
- 5-yr mean yield

# What's next

- Further evaluation of methods
  - Further refinement of methods and input data
- The last 5% of crop land N application
  - Estimation method can be applied to many crops with partial data
- QA/QC results
- Decide on final estimation method
- Decide on final trend analyses



Questions?



# Example of Nutrient applications

